

Requisition #: _____
Banner #: _____

Reference #: IER#0000149
Fiscal Year: 2026-2027



Instructional Equipment Request (IER) Form

Title of Submission:	Bucher Vaslin Crossflow Filtration System		
Requestor Name:	Lopez, Brianna	Division:	STEM
Date Submitted:	10/07/2026	Discipline:	Viticulture/Winery Technology
Type of Request:	A Replacement		

Describe the specific equipment requested.

Include model/specs if known. State whether this replaces existing equipment, upgrades current technology, or fills a new need.

Bucher Vaslin Flavy X-Treme 2 commercial crossflow filtration system for instructional and production use in the Campus Hill Teaching Winery. This is a replacement for a previous crossflow filtration system that was 14+ years old, no longer works, and isn't fixable. The equipment establishes a modern crossflow filtration capability within VWT and will allow students to follow wines farther through production process, including clarification and preparation for finishing and bottling.

KEY DEADLINES

Action	Deadline
IER form submitted to Division Dean	10/07/2026
Division Review of IER forms (Dean signature)	10/14/2026
IER due to Exec. Assistant, Administrative Services (with Dean & VP signatures)	10/21/2026

PRE-SUBMISSION CHECKLIST

- ☒ All fields in the form **MUST** be completed in full.
- ☒ Valid vendor quote* must be attached (include shipping, installation, and tax where applicable)
Do not split quotes or submit duplicates. For more details or assistance see Quote Requirements*
- ☒ IER form signed and submitted to Division Dean by the deadline, must include:
 - ☒ Quote (required)
 - ☒ New Vendor Application (if new vendor)
 - ☒ W-9 (if new vendor)

***Quote Requirements:** By law, purchases between \$ 30,000.00 and \$ 119,099.99 require 3 quotes from 3 different vendors. Purchases at or above \$ 119,100.00 require an RFP (bid) process and Board approval; if your request is approved, we provide further instructions via email. We are required to proceed with the cheapest option unless a compelling argument can be provided for a more expensive option. For assistance with quotes, contact Bill Pagano at bpagano@clpccd.org or (925) 485-5271.

[More information on Instructional Equipment can be found here.](#)

IER RUBRIC: HOW YOUR REQUEST WILL BE SCORED

Criteria	Max Points	Strong Evidence	Adequate Evidence	Limited Evidence
Mission & Planning Priorities [Section 2]	5	4–5: Clear and compelling evidence/data that equipment fully supports LPC Mission and Planning Priorities.	2–3: Clear evidence/data that equipment supports LPC Mission and Planning Priorities.	0–1: Limited or no evidence/data.
Programmatic Impact & Institutional support [Section 3]	10	8–10: Clear and compelling evidence/data (from program review) of substantial instructional impact.	4–7: Clear evidence/data of substantial impact on program instruction.	0–3: Limited or no evidence/data.
Teaching & Learning Enhancement [Section 4]	10	8–10: Clear and compelling evidence/data that equipment provides much-needed enhancement to instruction.	4–7: Clear evidence that equipment provides enhanced instruction not met through current means.	0–3: Limited or no evidence/data.
Student Learning Outcomes [Section 5]	5	4–5: Clear and compelling evidence/data that equipment support course and/or program outcomes beyond current capability.	2–3: Clear evidence that equipment supports course and/or program outcomes beyond current capability.	0–1: Limited or no evidence/data.
Safety and/or Org. Effectiveness [Section 6]	5	4–5: Clear and compelling evidence/data that equipment will support safety and/or organizational effectiveness beyond current capability.	2–3: Clear evidence/data that equipment will support safety and/or organizational effectiveness beyond current capability.	0–1: Limited or no evidence/data.
Total	35			

Tip: Use specific data and evidence in each narrative section. Requests without evidence receive lower scores. Incomplete requests will not be ranked.

INSTRUCTIONAL EQUIPMENT: ALLOWABLE & NON-ALLOWABLE ITEMS

Allowable Items – Instructional equipment expenditures are eligible only when the equipment, library material, or technology is used for classroom instruction, student instruction or demonstration, or in the preparation of learning materials within an instructional program. All eligible items must function as durable assets with a useful life exceeding one year. Requests must fall within one of the five categories below (requests are not limited to the examples provided within the categories):

- 1. Equipment and Furniture:** Instructional equipment and furniture for primary use by students in instructional programs. Examples include, but are not limited to: classroom and laboratory equipment, such as, whiteboards, screens, projectors, lab instruments; and, instructional furniture, such as, desks, tables, podiums, chairs.
- 2. Information Technology:** Instructional IT equipment for student use in classrooms, laboratories and/or in direct support of student services. Examples include, but are not limited to: desktops, laptops, monitors, printers, servers, network/wireless infrastructure, AV/TV, and multimedia systems.
- 3. Adaptive Equipment:** Equipment for ADA/OCR-eligible students that assists them in a learning environment.

NOTE: Each individual item must cost \$200 or more.

Non-Allowable Items – Equipment that serve administrative or non-instructional purposes. Examples are: Photocopiers, file cabinets, bookcases, computers used primarily for administrative functions, networking infrastructure for non-instructional use, and software licenses for administrative systems. Items that would be considered supplies or have a useful life of less than one year.

SECTION 1 — Location and Compliance Information

Equipment Location: Building #: 3700 Room #: 3700

If applicable, describe any legal requirement, mandate, or safety concern.

Cite specific regulations or compliance requirements.

The Flavy X-Treme 2 is commercial winery-processing equipment involving electrical power, pumps, pressurized liquid flow, valves, hoses, filtration modules, and chemical cleaning procedures. Students will receive supervised training before operating the system, including proper startup and shutdown, sanitary connection procedures, valve positioning, pressure awareness, PPE, chemical cleaning practices, spill prevention, and appropriate response to abnormal operating conditions. The quoted configuration indicates 240V/60Hz which we have within the winery already.

SECTION 2 — Mission & Planning Priorities

Scored 0–5 points

LPC MISSION STATEMENT

Las Positas College is an inclusive, learning-centered, equity-focused environment that offers educational opportunities and support for completion of students' transfer, degree, and career-technical goals while promoting lifelong learning.

LPC PLANNING PRIORITIES

- ☒ **Equity:** Affirm LPC's unwavering commitment to equity by deepening campus-wide engagement, enhancing professional development, embedding equity-minded practices in decision-making, assessment, and accountability processes; and building capacity to resolve inequities.
- ☒ **Student Success:** Increase student success and completion through sustainable college practices, processes, academic support, removal of barriers, and focused professional development.
- ☐ **Health & Wellness:** Establish a knowledge base and appreciation for health and wellness in the workplace; create a sense of urgency about wellness; prioritize wellness in decision-making, assessment and accountability; and build capacity to support wellness.

Explain how this equipment supports LPC's Mission and the Planning Priority/Priorities checked above.

Strong responses provide clear and compelling evidence or data that the equipment supports the LPC Mission and Planning Priorities (e.g., specific program goals, student populations, or outcomes).

This equipment supports LPC's mission by giving students meaningful, career-focused experience with professional winery technology in supervised instructional setting. The request supports student success by allowing students to develop practical skills in membrane filtration, solids management, lees recovery, sanitation, process monitoring, and quality control. Skills directly applicable to cellar, production, laboratory, and winemaking positions. It also supports equity by ensuring that all VWT students can gain experience with commercial crossflow technology on campus. Students should not need employment at a large commercial winery or personal industry connections to receive this level of technical training.

SECTION 3 — Program Review & Programmatic Impact

Scored 0–10 points

Strong responses provide clear and compelling evidence or data from program review of substantial instructional impact. If this equipment was not included in your most recent program review, provide a clear explanation of the instructional need.

Specify the educational programs this equipment supports:

The equipment primarily supports the enology and certificate within the VWT Program and the following courses: introduction to enology, applied enology, fundamentals of wine science, fall winery operations, fall vineyard operations, and spring winery operations. The system will support instruction in wine clarification, crossflow membrane filtration, solids management, lees recovery, filtration monitoring, sanitation, wine finishing, and preparation for bottling. The Bucher Vaslin configuration provides a particularly broad instructional platform because it includes not only the core crossflow system, but additional capillary filtration capacity, lees-filtration capability, pre-filtration equipment, valves, venturi, adapters, and supporting components.

Is the equipment part of an upcoming Program Review? Was it included last year? If not, why? Use language from your Program Review as evidence to support this request.

Quote or paraphrase relevant language directly from your Program Review. If not included in Program Review, explain why this need emerged outside the regular planning cycle.

This equipment has been mentioned before as the previous crossflow is not usable anymore. Crossflow filtration fills a current instructional gap by allowing students to participate in a modern commercial filtration process and follow student produced wines through a more complete grape to finished wine workflow.

Section 4 — Teaching & Learning Enhancement

Scored 0–10 points

Provide clear and compelling evidence or data that this equipment enhances instruction and student learning beyond current capability. Strong responses demonstrate a much-needed instructional enhancement not met through existing means.

How does this equipment enhance teaching and the quality of instruction? Use evidence and data

Be specific about what instructors can do with this equipment that they cannot do now.

The Flavy system will allow faculty to teach filtration as an applied, measurable production process rather than primarily through lecture. Students can evaluate wine before filtration, prepare the system, monitor filtration performance, collect the filtered product, calculate recovery, and compare wine characteristics before and after processing. The broader Bucher Vaslin configuration also expands instruction beyond standard wine filtration. The included lees filtration and preparation-filtration capabilities allow faculty to teach solids management, wine recovery, process optimization, and treatment of more challenging winery streams. This gives students experience making decisions about how and why different filtration approaches are used rather than simply learning how to turn on a piece of equipment.

How does this equipment directly improve student learning?

Consider engagement, accessibility, hands-on practice, preparation for transfer or employment, etc.

Students will gain hands on experience in:

- Preparing wine for filtration
- Setting up sanitary transfer and filtration pathways
- Operating crossflow membrane equipment
- Monitoring filtration performance
- Managing solids and pre-filtration
- Filtering lees and evaluating wine recovery
- Calculating yield and product loss
- Evaluating filtered wine
- Cleaning and sanitizing membrane equipment
- Troubleshooting filtration performance
- Maintaining production records
- Preparing wines for finishing and bottling

The equipment will help students connect filtration decisions with product quality, wine recovery, efficiency, and commercial winery operations.

Estimated impact of this equipment:

Number of Classes / Sections Impacted:	6+	Estimated Number of Students Per Year:	100+
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SECTION 5 — Student Learning Outcomes (SLOs)

Scored 0–5 points

Strong responses provide clear and compelling evidence or data that this equipment supports course and/or program outcomes beyond current capability.

Which course or program SLOs does this equipment support? List them.

Reference the relevant SLO language from your course outline or program.

The equipment supports enology outcomes related to:

- Safely starting, operating, and shutting down winery equipment
- Applying appropriate winemaking and cellar practices to produce sound wines
- Performing wine analysis and quality control activities during cellaring and preparation for bottling
- Applying scientific and production information to wine processing decisions

The system also supports course level learning in wine clarification, filtration, sanitation, cellar operations, wine quality, and preparation for bottling.

How will this equipment enable measurable improvement of your current SLO attainment?

Consider how the equipment addresses gaps in current instructional capability, student practice, or skill development.

Students can be assessed through a practical crossflow filtration competency. Faculty can evaluate whether students can:

- Complete appropriate pre-operation checks
- Assemble sanitary connections correctly
- Prepare wines for filtration
- Operate and monitor the system safely
- Interpret filtration performance
- Calculate product recovery
- Evaluate the filtered wine
- Complete proper shutdown and cleaning
- Troubleshoot common filtration issues
- Maintain accurate production documentation

This provides direct evidence that students can apply filtration knowledge in an actual winery environment rather than demonstrating understanding only through written assessment.

SECTION 6 — Safety & Organizational Effectiveness

Scored 0–5 points

Strong responses provide clear and compelling evidence or data that this equipment supports safety and/or organizational effectiveness beyond current capability.

Describe, in detail, the impact this equipment will have on safety and/or organizational effectiveness:

The Flavy system will provide the teaching winery with a defined, commercial scale filtration workflow that can be incorporated directly into instructional production. Students will receive structured training in sanitary connections, pump and pressure awareness, electrical safety, cleaning chemicals, PPE, spill management, and safe startup and shutdown. Organizationally, the system will allow filtration and wine finishing to be completed on campus according to the instructional and production schedule of the teaching winery. The pre-filter, membrane system, and lees-filtration capability also allow different wine streams to be handled through appropriate processing pathways, improving workflow and product recovery while giving students a more realistic understanding of commercial winery operations.

SECTION 7 — Total Cost of Ownership & Sustainability

How does this equipment meet or exceed LPC's [Sustainability Efforts](#)?

Consider energy efficiency, reduced waste, longevity, and end-of-life disposal plan.

The crossflow system supports sustainability by improving wine recovery and reducing avoidable product loss during clarification and filtration. The included lees-filtration capability is especially valuable because it provides an opportunity to recover usable wine from higher solids material that may otherwise be loss. The major filtration equipment and stainless steel components are reusable across many vintages. Appropriate membrane cleaning, preventive maintenance, and sanitation will be incorporated into normal teaching winery procedures to maximize useful life. Crossflow technology also allows repeated filtration processes to be consolidated into a dedicated commercial system, supporting efficient processing and reducing unnecessary product handling.

Does the new equipment replace older equipment?

If yes, will you retire/surplus the old equipment? If not, where will it be stored and what are the storage costs?

Yes, The Flavy X-Tremendous 2 replaces a previous crossflow system that we used to have that is now that has now been surplus because it was no longer useable or repairable. This new crossflow fills the gap that we currently have with the loss of our previous crossflow system with the ability to complete advanced clarification, lees filtration, product recovery, and preparation for bottling while providing students with direct experience using modern membrane filtration technology. The system will be housed within the existing winery production space.

SECTION 7 – Total Cost of Ownership & Sustainability (continued)

Operator		Maintenance & Repair	
Primary Operator	Brianna Lopez	Who will perform maintenance & repairs?	Brianna Lopez
Does the work align with current position duties?	Yes	Does the work align with current position duties?	Yes
Cost to train primary operator:	0.00	Cost to train for maintenance and repairs:	0.00
Estimated hours equipment will be used per month:	20+	Estimated hours per month:	2

Comments:

IMPORTANT: The lower Criveller quote should not be interpreted as an equivalent system being offered at a lower cost. Bucher Vaslin includes a broader configuration and more instructional options along with better technical support, equipment support network, long term serviceability, and established industry presence. Based on my experience, Criveller has inconsistent service, support, and longevity of equipment.

FOAP (Budget) for Recurring Costs:	103001	-	34900	-	5220	-	601000
	Fund		Org		Account		Program

Estimated Lifespan of Equipment: 15+ years

Part A: Initial Start-Up Costs	Cost (\$)	Notes
Equipment / Materials	\$143,228.00	
Shipping & Delivery	\$0.00	
Installation	\$0.00	
Operator Training	\$0.00	
Facilities Modification	\$0.00	
Other	\$14,680.87	10.25% Livermore sales tax (apply to all quotes)
Discounts (enter as positive)	\$0.00	
Subtotal	\$157,908.87	
Part B: Annual Operating Costs	Cost (\$)	Notes
Service / Maintenance	\$1,500.00	Preventive & occasional technical service
Part Replacement	\$500.00	Normal wear components (i.e. gaskets, seals, etc)
Software Licensing	\$0.00	
Supplies	\$500.00	Routine cleaning consumables
Vendor Calibration / Standardization	\$0.00	
Storage	\$0.00	
Other	\$0.00	
Subtotal	\$2,500.00	

Overall Cost (Start-Up + Annual)	\$160,408.87
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APPROVALS & SIGNATURE ROUTING

Before signing below, please confirm all fields are completed, the information is correct, and the request is for allowable items. A vendor quote must be attached **before** the form is submitted.

3  Quote (Preferred Vendor)

Title	Signature	Date
Requestor:	<i>Brianna R Lopez</i>	10/07/2026
Division Dean:	<i>Paula Checchi</i>	10/12/2026
Vice President:	<i>Nan Ho</i>	10/13/2026
College Technology Services Manager:	<i>Stephen Gunderson</i>	10/14/2026
M&O Director:	<i>JOHN SEYBERT</i>	10/17/2026
Vice President, Administrative Services:	<i>Sean Brooks</i>	10/23/2026

REFERENCE: IER Process Flow

1	Requestor submits completed packet to Division Dean
2	Dean reviews and forwards to Vice President
3	Vice President reviews and forwards to Executive Assistant of Administrative Services
4	Executive Assistant logs request; forwards to M&O and IT for technical review
5	RAC reviews and scores requests
6	Executive Assistant compiles final rankings for RAC review
7	RAC Co-Chairs meet with College President to discuss ranked requests
8	President issues approval memo to RAC
9	RAC notifies requestors of approved requests and any additional steps (e.g., additional quotes, board packet)
	<i>For requests that meet the board threshold, Purchasing will initiate the RFP (bid) process in collaboration with the requestor. Purchasing will notify the requestor of the winning bid/vendor. Bid process takes approximately 6 weeks.</i>
10	Requestor submits Requisition with updated quote(s) (itemization not required on Reqs for IERs) see example
11	Business Office reviews and enters requisitions into Banner; forwards requests to Purchasing*
	<i>*For board requests, bid process must be completed first, then requisition submitted to Business Office for entry into Banner, then Division submits board packet with copy of req entered into Banner (note Banner Doc # on packet).</i>

Questions? Contact the [RAC Co-Chairs](#) or the [Executive Assistant, Administrative Services](#).



CHABOT – LAS POSITAS COMMUNITY COLLEGE DISTRICT

Vendor Profile Application

Return Completed Form to:

Contact Person Requesting Your Services

PLEASE TYPE OR PRINT.

For questions regarding this form or the application process, please contact the Purchasing Department at (925) 485-5230.

1. Vendor Name: <u>BUCHER VASLIN NORTH AMERICA, INC</u> DBA (if any): _____ Check payable to <u>BUCHER VASLIN NORTH AMERICA, INC</u>	
2. This information must be supplied. If not , the application will be returned. W9 form Required. Federal ID Number <u>16</u> - <u>1225693</u> or Social Security Number _____ - _____ - _____ A. Federal Tax Classification ☐ Individual/Sole Proprietor (S) ☐ Joint Venture (J) ☐ Partnership ☐ Single-Member LLC ☒ Corporation (C), State where incorporated <u>NY</u> B. Is it a Non-Profit Organization? ☐ Yes ☒ No , If yes provide Tax-Exempt Form C. Business Start/Incorporation Date <u>04</u> / <u>11</u> / <u>1984</u>	
3. Addresses A. <u>Primary/Mailing</u> Street <u>3100 DUTTON AVE STE 146</u> City <u>SANTA ROSA</u> Zip Code <u>95407</u> Primary Contact Name <u>JOSIE VIDRIO</u> Phone (<u>707</u>) <u>823</u> - <u>2883</u> Ext. _____ Fax (____) _____ - _____ Email <u>ACCOUNTING.BVNA@BUCHERVASLIN.COM</u> B. <u>Order (for Purchase Orders, if different from above)</u> Street _____ City _____ Zip Code _____ Primary Contact Name <u>CASS GOSSAGE</u> Phone (<u>707</u>) <u>823</u> - <u>2883</u> Ext. <u>1028</u> Fax (____) _____ - _____ Email _____ C. <u>Remittance (for checks if different from above)</u> Street _____ City _____ Zip Code _____ Primary Contact Name _____ Phone (____) _____ - _____ Ext. _____ Fax (____) _____ - _____ Email _____	
4. Vendor Category ☐ Disabled Veteran ☐ Minority Owned ☐ Small Business ☐ Women Owned	
5. Type of Business: Check the one which best describe your company: ☐ Broker ☐ Manufacturer ☐ Manufacturer's Rep ☐ Wholesaler ☒ Retailer <u>Service</u> ☐ Architect, Engineer, Construction ☐ Professional ☐ Other	
6. Sales Tax Collection ☒ Collects all Sales/Use Tax for Alameda County ☐ Collects Selected Taxes _____ % ☐ Does not collect Sales Tax California Seller or Use Tax Permit Number <u>SR OHB 30-661547</u> Do you supply recycled products? ☐ Yes ☒ No	
7. Type of commodities or services that your business provides <u>WINERY EQUIPMENT, PARTS AND PRODUCTS</u>	
8. Name of person completing the form Name <u>CELINA NUNEZ</u> Title <u>HR & FINANCE MANAGER</u> Phone No. <u>(707) 823-2883</u> Signature <u><i>Celina Nunez</i></u> Date <u>9/28/2026</u> Email Address: <u>ACCOUNTING.BVNA@BUCHERVASLIN.COM</u>	

DO NOT COMPLETE – For CLPCCD use only ☐ New ☐ Updated

Received by Purchasing on _____ VENDOR NO. _____

Offer

A N°:

Sales Area Manager :
Sarah Donley

Phone No. :

+1 707 591 5152

@-Mail : sarah.donley@buchervaslin.com

Client : Las Positas College

Billing Address : 3000 Campus Hill Drive

Delivery address : 3000 Campus Hill Drive

Livermore, CA 94551

Livermore, CA 94551

Contact name Brianna Lopez

Phone No. : 925-424-1200

@-Mail : brlopez@laspositascollege.edu

TIN/EIN :



BUCHER VASLIN
North America

Date : 8/25/2026

Code	Equipment list	List-price	Qty	Currency : USD	
				Unit price	Total price
475,705	Flavy X-Treme 2	\$92,208	1	\$92,208	\$92,208
	Module 6 m² capillary 3mm	\$8,400	2	\$8,400	\$16,801
	Adapter DIN 40F/Clamp 51M + seals	\$121	4	\$121	\$483
	Lees filtration option X-Treme 2	\$8,564	1	\$8,564	\$8,564
	Sélecteur 2E-2S Flavy X 2-3	\$9,485		\$9,485	
	UF Bentonite injection Flavy X 2-3	\$12,401		\$12,401	
	DN40-tared valve permeate Flavy X 2-3	\$772	1	\$772	\$772
	Air / nitrogen selector valve Flavy X 2-3	\$290	1	\$290	\$290
	Venturi for Flavy 2-10 non-sparkling wine filters	\$4,192	1	\$4,192	\$4,192
	477,457	Pre-filter DB	\$19,677	1	\$19,677
	Adapter DIN 40F/Clamp 51M + seals	\$121	2	\$121	\$242

Bucher Vaslin North America
3100 Dutton Avenue, Suite 146-142-134D, 132D
Santa Rosa, CA, 95407 - United States
Phone No. : +1 707 823 2883

VT77_Jan 2025

**Request for Taxpayer
Identification Number and Certification**

Go to www.irs.gov/FormW9 for instructions and the latest information.

**Give form to the
requester. Do not
send to the IRS.**

Before you begin. For guidance related to the purpose of Form W-9, see *Purpose of Form*, below.

Print or type. See Specific Instructions on page 3.	1 Name of entity/individual. An entry is required. (For a sole proprietor or disregarded entity, enter the owner's name on line 1, and enter the business/disregarded entity's name on line 2.) Bucher Vaslin North America, Inc.	
	2 Business name/disregarded entity name, if different from above.	
	3a Check the appropriate box for federal tax classification of the entity/individual whose name is entered on line 1. Check only one of the following seven boxes. ☐ Individual/sole proprietor ☒ C corporation ☐ S corporation ☐ Partnership ☐ Trust/estate ☐ LLC. Enter the tax classification (C = C corporation, S = S corporation, P = Partnership) _____ Note: Check the "LLC" box above and, in the entry space, enter the appropriate code (C, S, or P) for the tax classification of the LLC, unless it is a disregarded entity. A disregarded entity should instead check the appropriate box for the tax classification of its owner. ☐ Other (see instructions) _____	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from Foreign Account Tax Compliance Act (FATCA) reporting code (if any) _____ (Applies to accounts maintained outside the United States.)
	3b If on line 3a you checked "Partnership" or "Trust/estate," or checked "LLC" and entered "P" as its tax classification, and you are providing this form to a partnership, trust, or estate in which you have an ownership interest, check this box if you have any foreign partners, owners, or beneficiaries. See instructions ☐	
	5 Address (number, street, and apt. or suite no.). See instructions. 3100 Dutton Ave Ste 146	Requester's name and address (optional)
6 City, state, and ZIP code Santa Rosa, CA 95407		
7 List account number(s) here (optional)		

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. See also *What Name and Number To Give the Requester* for guidelines on whose number to enter.

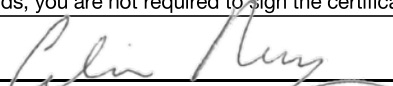
Social security number									
			-				-		
or									
Employer identification number									
1	6	-	1	2	2	5	6	9	3

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and, generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here	Signature of U.S. person 	Date 01/12/2026
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

What's New

Line 3a has been modified to clarify how a disregarded entity completes this line. An LLC that is a disregarded entity should check the appropriate box for the tax classification of its owner. Otherwise, it should check the "LLC" box and enter its appropriate tax classification.

New line 3b has been added to this form. A flow-through entity is required to complete this line to indicate that it has direct or indirect foreign partners, owners, or beneficiaries when it provides the Form W-9 to another flow-through entity in which it has an ownership interest. This change is intended to provide a flow-through entity with information regarding the status of its indirect foreign partners, owners, or beneficiaries, so that it can satisfy any applicable reporting requirements. For example, a partnership that has any indirect foreign partners may be required to complete Schedules K-2 and K-3. See the Partnership Instructions for Schedules K-2 and K-3 (Form 1065).

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS is giving you this form because they



IDEAL
SOLUTIONS
FOR THE
IDEAL WINERY

CRUSH
FERMENT
FILTER
BOTTLE
LABEL



CIDER



FERMENT



FILTER



BOTTLE



LABEL

WWW.CRIVELLER.COM



CRIVELLER
GROUP

THE BEST
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& STAINLESS STEEL TANKS

PROCESSING, BOTTLING, LABELING AND MORE FOR THE BREWING INDUSTRY

East 905.357.2930 | West 707.431.2211

info@criveller.com | mail@criveller.com



CRIVELLER GROUP

Criveller Group was founded in 1979 with an emphasis on providing innovative processing and packaging solutions to the wine regions of North America. By forging alliances with leading European equipment manufacturers, Criveller became recognized not only for high-quality equipment, but also for its technical expertise, design services and superior customer service.

Criveller provides equipment and services to a wide range of clients in the food and beverage industries with two manufacturing locations in Ontario, Canada; California; and several associate production facilities in Italy.

Projects not only encompass the microbrewery and winery sector, but also include apple cider production facilities, distilleries, pasta sauce production facilities, blending and metering systems for vodka production, tanks and packaging solutions to the bottled water industry and olive oil production.

Criveller has built a reputation of working with companies as partners to help organizations meet and exceed their expectations. Experience, dedication and innovation offer our partners products and solutions, which are unmatched in the industry.

DRAFT FOR REVIEW - October 7 2026

Hello Brianna,

We would like to propose two options for finished wine clarification and lees recovery:

- CFI A 5 Automatic: One 5 m² stainless steel filtration module – USD \$97,600
- CFI 10 Automatic: One 10 m² stainless steel filtration module – USD \$151,300

These are two separate equipment options, with pricing provided for each system individually.

Both systems are fully automatic and designed for efficient filtration, including automatic filling, backpulse membrane regeneration, and CIP cleaning. Standard equipment includes:

- Vortex cleaning system
- Dual stainless steel prefilters
- Heat exchanger
- Modulating valve
- Remote assistance capability
- PLC and HMI controls

A self-cleaning prefilter is also available as an additional option.

Both systems use nominal 0.2-micron membranes suitable for wine clarification and microbial reduction. However, they are not intended to guarantee sterile filtration. If sterile bottling is required, we recommend evaluating an additional absolute-rated final filtration stage.

Please note that the pricing above is for the equipment only. Freight, installation, commissioning, training, accessories, chemicals, spare parts, applicable taxes and tariffs, and other commercial terms will need to be confirmed. Equipment dimensions and utility requirements are also subject to final engineering review.

To help us determine the most suitable system, could you please provide the following information?

To help us determine the most suitable system, could you please provide the following information?

- Annual wine production
- Typical and minimum filtration lot sizes
- Expected solids content, particularly for lees recovery
- Desired filtration time per batch
- Available electrical power, water, compressed air or nitrogen, and drainage
- Available installation space

With this information, we can review the expected filtration capacity and recommend the configuration that best meets your production requirements.

Regards

Davide Criveller

Criveller California

CROSS FLOW FILTRATION SYSTEM

MODEL: CFI 10 m2

MAIN CONSTRUCTION CHARACTERISTICS:

Designed for the filtration of:

- Wine
- Wine before racking (with lees)
- Barreling down
- Juice lees from flotation or settling
- Wine lees
- Lees treated with carbon, bentonite, PVPP and gelatin

The CFI model is completely automatic for the following processes:

- Filling of filter
- Filtration
- Emptying of filter
- Rinsing and cleaning

TECHNICAL CHARACTERISTICS:

- Voltage - 220/480 V 3 phase 60 Hz. Current and circuit sizing to confirm.
- Installed power - 11.05 kW published reference. Final engineering confirmation required.
- Membrane porosity: 0.2 um nominal
- Membrane material: 1 module with 316 sintered stainless steel membranes

Specialized Filter modules composed of cylindrical stainless steel containers. Each module is designed to contain the filtering elements consisting in AISI 316 sintered stainless steel pipes having such a diameter and porosity to guarantee an effective filtration and it's particularly resistant and suitable for use with significantly demanding products to be treated, at high transmembrane pressures.



Prefilter Semi automatic easily accessible double stainless steel container. Includes removable screens for pre filter separation surface. System also includes pressure sensors which automatically stop the filter in case of prefilter clogging. System automatically switches between clogged filter and clean filter. Once on or both filters are clogged, operator manually cleans them.

Centrifugal recirculation pump designed to work at the optimal flow rate for the filtration cycle. Motor is IP55 (equivalent to NEMA 4) rated with closed ventilation.

Control panel housed in an IP55 enclosure. PLC with user friendly touchscreen panel controls for functions. Includes

Water integration system designed to modulate HOT/COLD inlet water automatically for correct cleaning temperature.

Cleaning agent integration system with volumetric style pump which accurately doses both basic and acidic solutions

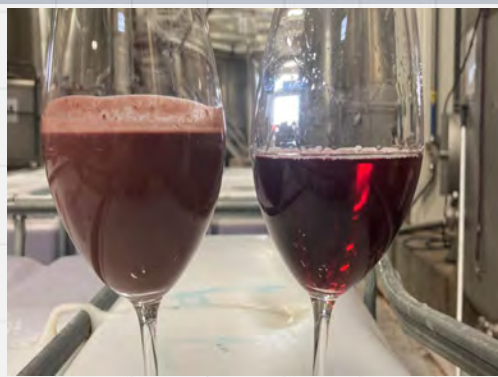
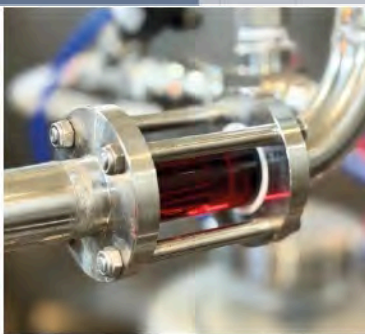
Product circuit piping which includes inlet and outlet product manifold, operating valves (both manual and electropneumatic), sample valve for sample preparation, PRV valves and sight gauges.

Heat exchanger with concentric tubes specially designed to maintain temperature of the product maintained in recycling manifold.

NOT INCLUDED

All utilities (electrical connection, compressed air, inert gas, water lines, glycol lines, cleaning agents)

Anything else not specified here



FLOW RATE DETAILS CFI MOD. 10

Flow rate	gal/h	80-150
Filtration surface	m ²	10
Filtering modules	n°	1

FLOW RATES ARE INDICATIVE AND NOT BINDING - ALOT OF FACTORS INCLUDING TEMPERATURE OF PRODUCT, PERCENT SOLIDS, PECTINS, GLUCANS, GRAPE VARIETY, FINING AGENTS, ETC ALL HAVE AN EFFECT ON FLOW RATES AND USERS WILL SEE HIGHER AND LOWER FLOW RATES THEN WHAT IS INDICATED ABOVE DEPENDING ON ALL THESE FACTORS. THE FLOW RATE INDICATED ABOVE ARE TO GIVE APPROX. VALUES ONLY

Published dimensions - final confirmation required		
Length	mm	1900
Width	mm	1090
Height	mm	2700
Weight	kg	630

Water consumption and other:

Potable hot and cold water required. Water quality / flow / pressure / drain and cleaning protocol must be confirmed in the final utility sheet.

		10 m2
Water Temp needed for hot water washing		TBD
Water pressure required for hot/cold water	[bar]	TBD

CROSS FLOW FILTRATION SYSTEM

MODEL - CFI A 5 automatic - 5 m2

MAIN CONSTRUCTION CHARACTERISTICS:

Designed for the filtration of:

- Wine
- Wine before racking (with lees)
- Barreling down
- Juice lees from flotation or settling
- Wine lees
- Lees treated with carbon, bentonite, PVPP and gelatin

The CFI model is completely automatic for the following processes:

- Filling of filter
- Filtration
- Emptying of filter
- Rinsing and cleaning

TECHNICAL CHARACTERISTICS:

- Voltage - 220 V 3 phase 60 Hz. Current and circuit sizing to confirm.
- Installed power - 7.35 kW published reference. Final engineering confirmation required.
- Membrane porosity: 0.2 um nominal
- Membrane material: 1 module with 316 sintered stainless steel membranes

Specialized Filter modules

composed of cylindrical stainless steel containers. Each module is designed to contain the filtering elements consisting in AISI 316 sintered stainless steel pipes having such a diameter and porosity to guarantee an effective filtration and it's particularly resistant and suitable for use with significantly demanding products to be treated, at high transmembrane pressures.



Prefilter Semi automatic easily accessible double stainless steel container. Includes screens for pre filter separation surface. System also includes pressure sensors which automatically stop the filter in case of prefilter clogging. System automatically switches between clogged filter and clean filter. Once both filters are clogged, operator manually cleans them.

Centrifugal recirculation pump designed to work at the optimal flow rate for the filtration cycle. Motor is IP55 rated with closed ventilation.

Control panel housed in an IP55 enclosure. PLC with touchscreen panel controls all functions.

Water integration system designed to modulate HOT/COLD inlet water automatically for correct cleaning temperature.

Cleaning agent integration system with volumetric style pump which accurately doses both basic and acidic solutions

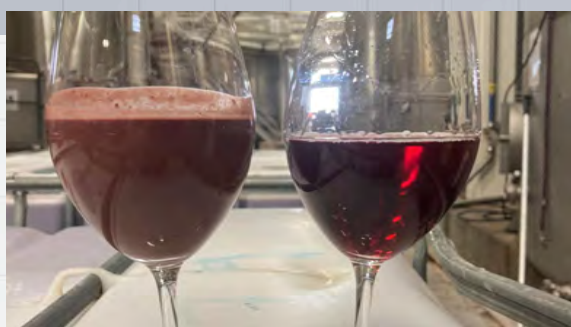
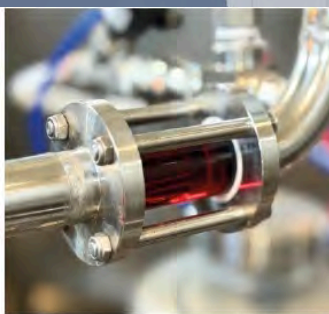
Product circuit piping which includes inlet and outlet product manifold, operating valves (both manual and electropneumatic), sample valve for sample preparation, PRV valves and sight gauges.

Heat exchanger with concentric tubes specially designed to maintain temperature of the product maintained in recycling manifold.

NOT INCLUDED

All utilities (electrical connection, compressed air, inert gas, water lines, glycol lines, cleaning agents)

Anything else not specified here



FLOW RATE DETAILS CFI MOD. 5

Flow rate	gal/h	40-75
Filtration surface	m ²	5
Filtering modules	n°	1

FLOW RATES ARE INDICATIVE AND NOT BINDING - ALOT OF FACTORS INCLUDING TEMPERATURE OF PRODUCT, PERCENT SOLIDS, PECTINS, GLUCANS, GRAPE VARIETY, FINING AGENTS, ETC ALL HAVE AN EFFECT ON FLOW RATES AND USERS WILL SEE HIGHER AND LOWER FLOW RATES THEN WHAT IS INDICATED ABOVE DEPENDING ON ALL THESE FACTORS. THE FLOW RATE INDICATED ABOVE ARE TO GIVE APPROX. VALUES ONLY

Published dimensions - final confirmation required

Length	mm	1900
Width	mm	980
Height	mm	2700
Weight	kg	410

Water consumption and other:

Potable hot and cold water required. Water quality / flow / pressure / drain and cleaning protocol must be confirmed in the final utility sheet.

		5 m2
Water Temp needed for hot water washing		TBD
Water pressure required for hot/cold water	[bar]	TBD

ALTERNATIVES - SELECT ONE SYSTEM

ITEM DETAIL	UNIT PRICE	Qty	PRICE
Alternative B - CFI 10 automatic. One 10 m2 stainless steel module. Equipment price only.	\$151,300	0	\$0
<u>OPTIONS:</u>			
Expandability option for future 10 m2 module Expandable up to 40 m2	Included		
Automatic self cleaning pre-filter	\$13,780	0	\$0
Add-on 10 m2 CFI module - Includes vortex and heat exchanger (will work for either new or demo unit)	\$61,700	0	\$0
Alternative A - CFI A 5 automatic. One 5 m2 stainless steel module. Equipment price only.	\$97,600	1	\$97,600
<u>OPTIONS:</u>			
Expandable to 10 m2 maximum. Additional module priced separately.	Included	0	\$0
Automatic self cleaning pre-filter	\$13,780	1	\$13,780
Optional extra 5 m2 module. Expanded total 10 m2 in two modules.	\$34,100	0	\$0
Subtotal			\$111,380
Educational Discount (-12%)			-\$13,365.60
Alternative B equipment subtotal			\$98,014.40

FOB HEALDSBURG

Price does not include applicable tariffs taxes, delivery, unloading/placement, or installation and commissioning.

TERMS AND CONDITIONS

The following terms and conditions apply to Quote No. 20260150 provided by Criveller California Corporation, a California corporation ("Criveller") and accepted on October 07, 2026 by Las Positas College / Campus Hill Winery. In consideration of the mutual covenants and agreements set forth herein and in the Quote (collectively, the "Agreement"), and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties hereto agree as follows:

1. **Expiration of Quote.** Customer shall have 14 days to accept the Quote from the date the Quote is received by Customer. If Customer does not sign the Quote or inform Criveller in writing of Customer's acceptance of the Quote within such time period, the Quote will automatically expire. If, after the Quote expires, Customer would like to proceed with the order as set forth in the Quote or otherwise, Customer must request a new Quote from Criveller. Criveller has the right, in its sole discretion, to accept or reject any subsequent orders requested by Customer after the Quote expires, and Criveller may in its sole discretion adjust or modify the terms, specifications, and/or pricing in a subsequent quote.
2. **Purchase Price.** Subject to the terms and conditions set forth herein, Customer agrees to purchase all of the tanks, materials, and equipment (collectively, the "Equipment") set forth in the Pricing Summary ("Pricing Summary") on pages 11 of the Quote for the purchase price identified on page 11 of the Quote (the "Subtotal Purchase Price"). The Subtotal Purchase Price does not include, and Customer shall be solely responsible for and hold Criveller harmless from, any and all taxes imposed on the Equipment; loading, crating, shipping, and delivery charges; costs associated with unloading, placement, installation, and commissioning of the Equipment; costs related to insuring the Equipment during or after delivery; and import tariffs, duties, surcharges, port fees, and any other type of fee, tax, or charge directly attributed to the importation of the Equipment (collectively, "Additional Costs"). Customer will not be responsible for any import costs associated with Equipment that is already in stock at Criveller's Healdsburg factory. All Additional Costs will be added to a final invoice and together with the Subtotal Purchase Price will constitute the "Final Purchase Price." For avoidance of doubt, any materials, equipment, or services not identified in the Pricing Summary or in a subsequent written communication between the parties shall not be part of the Final Purchase Price but may be provided by Criveller at an additional cost upon Customer's request. Examples of materials, equipment, and services that are not typically included in the Final Purchase Price include, but are not limited to: the Additional Costs identified in this Section 2; electrical connections, plugs, and valves (including solenoid valves and modulating valves); steam boilers; steam piping and glycol piping, glycol chillers; thermocontroller brackets, stamped engineered base bracing

drawings; any structural modifications to the Equipment (i.e. additional supports, thickness changes, or additional welding), utilities (including compressed air, nitrogen, argon, electricity, and water); and, if applicable, flights, meals, accommodations, and other costs associated with Criveller's provision of technicians to install and commission the Equipment.

3. Payment Terms.

Deposit. A non refundable deposit of 50% of the Final Purchase Price (the "Deposit") shall be paid by Customer and must be received by Criveller within 2 days of Customer's execution of this Agreement. Once the deposit is paid, the Final Purchase Price will not change unless (i) Additional Costs as described in Section 2 of this Agreement are incurred that were not already included in the Final Purchase Price, or (ii) Customer orders any extra materials, equipment or services that are not identified in the Pricing Summary and were not included in the Final Purchase Price. Payment of the Deposit may be made by check or wire transfer of immediately available funds using the payment details set forth on page [11] of the Quote. Once Criveller receives the Deposit, Criveller will countersign this Agreement and electronically send a receipt to Customer. Please note that Criveller will not begin to perform any work, no in stock items will be reserved for Customer, and no orders will be placed with Criveller's third-party vendors, until the Deposit is received by Criveller. Late payment of the Deposit will also affect any previously agreed-upon shipping/delivery times. Criveller reserves the right to rescind the Quote, terminate this Agreement, and cancel Customer's order if the Deposit is not received by Criveller within the time set forth in this Section 3(a).

b. Balance of Final Purchase Price. At least fourteen days prior to preparing the Equipment for shipping/delivery, Criveller will provide Customer an invoice for the balance of the Final Purchase Price. The balance of the Final Purchase Price shall be paid by Customer by check or wire transfer of immediately available funds prior to delivery of the Equipment as follows: [within 7 days following notice from Criveller that the Equipment is ready to be delivered to Customer]. Unless the parties otherwise agree in writing, Criveller will not ship or deliver the Equipment until the entire balance of the Final Purchase Price is paid in full.

c. Invoice Disputes. Customer shall notify Criveller in writing of any dispute with the Quote, this Agreement, or any subsequent invoice, along with a reasonably detailed description of the dispute, within 10 days from the date of Customer's receipt of the Quote or such invoice. Customer will be deemed to have accepted all invoices for which Criveller does not receive timely notification of disputes and shall pay all undisputed amounts

due under such invoices within the period set forth in this Section 3. The parties shall seek to resolve any such disputes expeditiously and in good faith. Notwithstanding anything to the contrary, Customer shall continue performing its obligations under this Agreement during any such dispute, including, without limitation, Customer's obligation to pay all due and undisputed invoice amounts.

d. **Late Payments.** Notwithstanding invoiced payments that Customer has successfully disputed, interest shall accrue on all payments not received by Criveller within 30 days after the date such payment is due, at the lesser of the rate of 1.5% per month or the highest rate permissible under applicable law, calculated daily and compounded monthly. Customer shall also reimburse Criveller for all costs incurred in collecting any late payments, including, without limitation, attorneys' fees. In addition to all other remedies available under this Agreement or at law (which Criveller does not waive by the exercise of any rights under this Agreement), if Customer fails to pay any amounts when due under this Agreement, Criveller may (i) suspend the manufacture and delivery of the Equipment, (ii) reject future orders placed by Customer (if any), and/or (iii) cancel the Quote.

4. **Shipping and Delivery.** Unless Customer chooses to arrange for its own shipping and/or delivery, Criveller shall ship and/or deliver the Equipment to the location designated by Customer at a mutually agreeable date and time, using standard methods for packaging and shipping the Equipment. Unless otherwise stated in writing in advance by Criveller, all Equipment shall be delivered F.O.B. (as such term is defined in Section 2-319 of the Uniform Commercial Code) Criveller's Healdsburg factory and the risk of loss for all Equipment shall pass to Customer as soon as the Equipment leaves Criveller's factory. Criveller may, in its sole discretion, without liability or penalty, make partial shipments or deliveries of the Equipment to Customer. All shipping and delivery times quoted by Criveller are estimates only; Criveller is not liable for any damages or losses, direct or indirect, including business interruption, incurred by Customer, arising from or related to any failure or delay in filling an order or delays in shipping or delivery of the Equipment. No delay in the shipment or delivery of the Equipment relieves Customer of its obligations under this Agreement, including without limitation accepting delivery of any of the Equipment. Criveller reserves the right to recalculate projected shipping or delivery dates upon receipt of the Deposit or the Final Purchase Price. If, due to no fault of Criveller, all or part of the Equipment cannot be delivered within 60 days of Customer being advised that the Equipment is ready to be delivered, then storage rates will apply at Customer's expense.

IN NO EVENT SHALL CRIVELLER BE RESPONSIBLE FOR DELAYS IN EQUIPMENT PRODUCTION TIMES OR DELIVERY TIMES CAUSED BY THIRD-PARTY SUPPLY CHAIN OR TRANSPORTATION ISSUES.

5. **Custom Equipment.** Customer acknowledges and agrees that the Equipment is custom made, specifically manufactured for Customer by Criveller, and is not suitable for sale to others in the ordinary course of Criveller's business. As such, Customer may not return the Equipment, except as provided in Section 6 hereof which represents Customer's exclusive remedy for alleged defects associated with the Equipment.

6. **Limited Warranty.** Criveller warrants to Customer that for the Equipment and during the warranty periods described in Sections 6(a) and 6(b) below, and subject to the limitations set forth in Section 6(c) below, the Equipment will be free from significant defects in material and workmanship. During the applicable warranty period, Customer shall notify Criveller in writing of any alleged defect within 30 days after Customer's discovery of such alleged defect (and in any event prior to the expiration of the applicable warranty period). Failure to deliver such written notice within the time specified herein, during the applicable warranty period, shall constitute an absolute and unconditional waiver of Customer's rights under this limited warranty. Unless Criveller elects to replace the allegedly defective Equipment without a physical inspection, Customer shall ship or deliver the allegedly defective Equipment at Customer's expense and risk of loss to Criveller's Healdsburg factory for inspection and testing. If Criveller's inspection and testing reveals, to Criveller's reasonable satisfaction, that such allegedly defective Equipment is defective and any such defects have not been caused or contributed to by Customer as described in Section 6(c) below, Criveller shall reimburse Customer all costs associated with shipping or delivering the defective Equipment back to Criveller and in its sole discretion and at its expense either (i) repair or replace such defective Equipment, or (ii) credit or refund Customer the price of such defective Equipment less any applicable discounts, rebates, or credits. If Criveller exercises its option to repair or replace the defective Equipment, Criveller shall ship or deliver the repaired or replaced Equipment to Customer at Criveller's expense and risk of loss. All other costs incurred by Customer in connection with this warranty shall be borne by Customer unless accepted in writing by Criveller. This Section 6 applies to any entity or person who buys, acquires, or uses the Equipment, including any entity or person who obtains the Equipment from Customer, and such parties shall be bound by the limitations herein. Customer agrees to provide such subsequent transferee(s) conspicuous, written notice of the terms and limitations set forth in this Section 6.

a. **TANK WARRANTY.** CRIVELLER WARRANTS THAT ALL **BREWING VESSELS, FERMENTATION, BRITE, HOT LIQUOR, AND COLD LIQUOR TANKS** (COLLECTIVELY "TANKS"), **INCLUDING WELDS, STEAM JACKETS AND GLYCOL JACKETS USED ON TANKS, FITTINGS, SAMPLE VALVES, MANWAYS AND SIGHT GAUGE VALVES** MANUFACTURED BY CRIVELLER WILL BE FREE FROM DEFECTS IN MATERIAL AND WORKMANSHIP UNDER NORMAL USE AND REGULAR MAINTENANCE FOR A PERIOD OF **FIVE YEARS** FROM DATE OF DELIVERY TO CUSTOMER.

b. **OTHER EQUIPMENT WARRANTY.** CRIVELLER WARRANTS THAT **ALL OTHER EQUIPMENT MANUFACTURED AND DISTRIBUTED BY CRIVELLER** WILL BE FREE FROM DEFECTS IN MATERIAL AND WORKMANSHIP UNDER NORMAL USE AND REGULAR MAINTENANCE FOR A PERIOD OF **ONE YEAR** FROM DATE OF DELIVERY TO CUSTOMER, OR FOR OPERATION FOR **2,080 HOURS**, WHICHEVER IS LESS.

c. LIMITATIONS AND EXCLUSIONS. THIS LIMITED WARRANTY DOES NOT COVER ANY DAMAGE DUE TO: (i) TRANSPORTATION; (ii) STORAGE; (iii) CORROSION OR EROSION; (iv) SCALING; (v) IMPROPER INSTALLATION, USE, HANDLING, OR MAINTENANCE; (vi) FAILURE TO FOLLOW PRODUCT INSTRUCTIONS OR TO PERFORM ANY PREVENTIVE MAINTENANCE; (vii) UNAUTHORIZED REPAIR, MODIFICATIONS, OR ALTERATIONS; (viii) ELECTRICAL SURGES; (ix) UNITS MODIFIED OR USED FOR ANY OTHER PURPOSE OTHER THAN BREWING, DISTILLING AND PACKAGING OF BEER, SPIRITS, WINE, MEAD, CIDER AND OTHER SIMILAR NON-COMBUSTIBLE ALCOHOLIC, OR NON-ALCOHOLIC BEVERAGES; (x) USE WITH ANY THIRD-PARTY PRODUCT OR HARDWARE THAT HAS NOT BEEN PREVIOUSLY APPROVED IN WRITING BY CRIVELLER; (xi) NORMAL WEAR AND TEAR; OR (xii) EXTERNAL CAUSES SUCH AS ACCIDENTS, ABUSE, NEGLIGENCE, ABNORMAL PHYSICAL STRESS OR ENVIRONMENTAL CONDITIONS, OR OTHER SIMILAR ACTIONS OR EVENTS. IN ADDITION, THE FOLLOWING ITEMS ARE NOT COVERED UNDER THIS LIMITED WARRANTY UNLESS DISCOVERED TO BE DEFECTIVE AT THE TIME OF INSTALLATION AND COMMISSIONING IN THE PRESENCE OF A CRIVELLER TECHNICIAN: ELECTRICAL COMPONENTS, IMPELLERS, MECHANICAL SEALS, GASKETS, OIL SEALS AND O-RINGS. **ANY EQUIPMENT MANUFACTURED BY A THIRD PARTY (NOT CRIVELLER) AND PROVIDED BY CRIVELLER AS PART OF ANY PURCHASE IS SUBJECT SOLELY TO THE WARRANTY AND LIABILITY TERMS AND CONDITIONS OF THE MANUFACTURER.**

d. DISCLAIMER. NOTWITHSTANDING ANY OTHER PROVISION OF THIS AGREEMENT, THIS SECTION 6 CONTAINS CUSTOMER'S EXCLUSIVE REMEDY. EXCEPT FOR THE EXPRESS WARRANTIES SET FORTH IN THIS SECTION 6, CRIVELLER MAKES NO WARRANTY WHATSOEVER WITH RESPECT TO THE EQUIPMENT, INCLUDING ANY (i) WARRANTY OF MERCHANTABILITY; (ii) WARRANTY OF FITNESS FOR A PARTICULAR PURPOSE, WHETHER OR NOT THE PURPOSE OR USE HAS BEEN DISCLOSED TO CRIVELLER IN SPECIFICATIONS, DRAWINGS OR OTHERWISE, AND WHETHER OR NOT CRIVELLER'S EQUIPMENT IS SPECIFICALLY DESIGNED AND/OR MANUFACTURED BY CRIVELLER FOR CUSTOMER'S USE OR PURPOSE; (iii) WARRANTY OF TITLE; OR (iv) WARRANTY AGAINST INFRINGEMENT OF INTELLECTUAL PROPERTY RIGHTS OF A THIRD PARTY; WHETHER ARISING BY LAW, COURSE OF DEALING, COURSE OF PERFORMANCE, USAGE OF TRADE, OR OTHERWISE. CUSTOMER ACKNOWLEDGES THAT IT HAS NOT RELIED UPON ANY REPRESENTATION OR WARRANTY MADE BY CRIVELLER, OR ANY OTHER PERSON ON CRIVELLER'S BEHALF, EXCEPT AS SPECIFICALLY PROVIDED IN THIS AGREEMENT. THIS WARRANTY IS LIMITED TO REPLACEMENT OR REPAIRS OF PARTS FOUND TO BE DEFECTIVE WITHIN THE APPLICABLE WARRANTY PERIOD.

7. **Limitation of Remedies and Liability.** IN NO EVENT SHALL CRIVELLER BE LIABLE TO CUSTOMER, AND/OR ITS AFFILIATES, OR ANY THIRD PARTY FOR DAMAGES CAUSED BY DELAY IN PERFORMANCE AND IN NO EVENT, REGARDLESS OF THE FORM OF THE CLAIM OR CAUSE OF ACTION (WHETHER BASED IN CONTRACT, INFRINGEMENT, NEGLIGENCE, STRICT LIABILITY, OTHER TORT OR OTHERWISE), OR WHETHER SUCH DAMAGES WERE FORESEEABLE, OR WHETHER OR NOT CRIVELLER WAS ADVISED OF THE POSSIBILITY OF SUCH DAMAGES, SHALL CRIVELLER'S LIABILITY TO CUSTOMER, AND/OR ITS AFFILIATES, OR ANY THIRD PARTY EXCEED THE PRICE PAID BY CUSTOMER FOR THE EQUIPMENT PROVIDED BY CRIVELLER GIVING RISE TO THE CLAIM OR CAUSE OF ACTION. CUSTOMER AGREES THAT IN NO EVENT SHALL CRIVELLER'S LIABILITY TO CUSTOMER, AND/OR ITS AFFILIATES, OR ANY THIRD PARTY EXTEND TO INCLUDE INCIDENTAL, CONSEQUENTIAL OR PUNITIVE DAMAGES. THE TERM "**CONSEQUENTIAL DAMAGES**" SHALL INCLUDE, BUT NOT BE LIMITED TO, LOSS OF ANTICIPATED PROFITS, BUSINESS INTERRUPTION, LOSS OF USE OR REVENUE, COST OF CAPITAL, COST OF LEASE, RENT, WAGES, SPOILAGE, OR LOSS OR DAMAGE TO PROPERTY OR EQUIPMENT. CUSTOMER'S SOLE AND EXCLUSIVE REMEDY FOR DEFECTIVE EQUIPMENT SHALL BE LIMITED TO REPAIR OR REPLACEMENT UNDER SECTION 6.

8. **Assumption of Risk.** WITHOUT LIMITING THE GENERALITY OF THE FOREGOING, CUSTOMER ASSUMES ALL RISK AND LIABILITY FOR THE RESULTS OBTAINED BY THE USE OF THE EQUIPMENT IN THE PRACTICE OF ANY PROCESS, WHETHER IN TERMS OF OPERATING COSTS, GENERAL EFFECTIVENESS, SUCCESS OR FAILURE, AND REGARDLESS OF ANY ORAL OR WRITTEN STATEMENTS MADE BY CRIVELLER, BY WAY OF TECHNICAL ADVICE OR OTHERWISE, RELATED TO THE USE OF THE EQUIPMENT.

9. **Indemnification.** Subject to this Agreement, Customer (as "Indemnifying Party") shall indemnify, defend and hold harmless Criveller and its representatives, officers, directors, employees, agents, affiliates, successors and permitted assigns (collectively, "Indemnified Party") against any and all losses, damages, liabilities, deficiencies, claims, actions, judgments, settlements, interest, awards, penalties, fines, costs, or expenses of whatever kind, including reasonable attorneys' fees, fees and the costs of enforcing any right to indemnification under this Agreement, and the cost of pursuing any insurance providers, incurred by Indemnified Party, relating to, arising out of, or resulting from any claim arising out of: (i) any negligent or more culpable act or omission of Indemnifying Party or its personnel (including any recklessness or willful misconduct) in connection with the performance of its obligations under this Agreement; (ii) any bodily injury, death of any person or damage to real or tangible personal property caused by the willful or negligent acts or omissions of Indemnifying Party or its personnel; (iii) any breach of this Agreement by Customer; or (iv) any failure by Indemnifying Party or its personnel to comply with any applicable laws. Customer's indemnification obligation set forth in this Section 9 will continue beyond the term of this Agreement.

10. **Cancellation.** In the event Customer chooses to cancel its order after accepting the Quote, the Deposit shall be **non-refundable** and **fully forfeited**. Customer acknowledges and agrees that upon cancellation, for any reason, the Deposit will not be returned or credited toward any future purchase.

11. Title and Risk. Title to the Equipment shall remain in the name of Criveller until the Final Purchase Price is paid in full. However, all risk of loss and damage shall shift to Customer upon placement of the Equipment with a shipment or delivery carrier from Criveller's Healdsburg factory or other shipping point. At that time, Criveller shall be relieved of all responsibility for loss or damage. Criveller strongly advises Customer to open and inspect the Equipment upon arrival, prior to signing a bill of lading or similar release. Customer is solely responsible for noting any damage upon arrival of the Equipment and ensuring that the loss or damage is properly documented on the applicable release and signed by the delivery driver as well as Customer, and for pursuing claims against carriers. Without waiving any of its rights herein or accepting responsibility for any loss or damage incurred during the shipping or delivery process, Criveller is typically willing to provide reasonable assistance to Customer in processing claims against carriers. Unless otherwise agreed between the parties, it is the sole responsibility of Customer to unload Equipment upon arrival.

12. Compliance With Laws. Customer shall at all times comply with all laws applicable to this Agreement, Customer's performance of its obligations hereunder, and Customer's use and ownership of the Equipment. Without limiting the generality of the foregoing, Customer shall (i) at its own expense, maintain all certifications, credentials, licenses, and permits necessary to conduct its business relating to the purchase or use of the Equipment, and (ii) not engage in any activity or transaction involving the Equipment that violates any law. Criveller makes no representation or warranty that Equipment or Equipment parts manufactured outside of the United States will always conform to Federal, State, or local law, regulations, ordinances, codes, or standards. Further, Criveller makes no representation or warranty that the Equipment meets all earthquake safety specifications and Customer agrees to waive any and all claims against Criveller for loss or damage to the Equipment or caused by the Equipment as a result of earthquake.

13. Force Majeure. Criveller shall not be liable or responsible to Customer, nor be deemed to have defaulted under or breached this Agreement, or liable for any failure or delay in fulfilling or performing any term of this Agreement, when and to the extent Criveller's failure or delay is caused by or results from any of the following force majeure events: (i) acts of God; (ii) flood, fire, earthquake, unusually severe-weather, epidemic, pandemic, or explosion; (iii) war, invasion, hostilities (whether war is declared or not), terrorist threats or acts, riot or other civil unrest; (iv) government order, law, or action; (v) embargoes or blockades in effect on or after the date of this Agreement; (vi) national or regional emergency; (vii) strikes, labor stoppages or slowdowns, or other industrial disturbances; (viii) shortage of adequate power or transportation facilities; (ix) supply chain disruptions; or (x) other similar events beyond the reasonable control of Criveller.

14. Installation and Maintenance. Unless otherwise provided herein or agreed to in writing by the parties, Customer shall be responsible for receiving, inspecting, installing, storing, testing, starting up, and maintaining all Equipment at Customer's own cost. Installation of the Equipment should only be completed by a thoroughly trained and experienced technician. **Criveller recommends using a Criveller technician for all initial installation and testing.** Movement during shipping may cause issues with electrical connections, including those located at circuit breakers, motors, motor starters, pumps, contactors and heating elements. All high amperage electrical connections should be sufficiently tightened prior to start up. Failure to tighten loose connections may result in excessive heat buildup on the electrical components resulting in damage to the Equipment, Equipment components, or surrounding areas including the building itself. Criveller expressly disclaims any damages or losses of any kind resulting from loose electrical connections.

15. Startup Service. A startup service is recommended and may be provided by Criveller's trained technicians at an additional cost. This service consists of making initial adjustments and training the equipment operators. No startup service will be provided unless requested by Customer and included in the Quote and in the Final Purchase Price. Startup and training services identified in the Quote are estimates. A specific quotation for startup and training service will be provided to Customer after placement of order. Prior to startup, Customer will be required to provide written confirmation of the readiness of the facility, including readiness of the building, electrical supply, air supply, and water supply. Customer may also be required to provide bottle design drawings, sample bottles, sample labels and other information upon request of Criveller. In the event that these materials are not provided in response to requests, the manufacture and delivery of the Equipment may be delayed, and Customer agrees that this delay shall be its sole responsibility and not the fault of Criveller. In the event a Criveller technician arrives and Customer's equipment is not mechanically or electrically connected, Customer will be charged accordingly to normal service rates. In addition, Criveller reserves the right to remove personnel from Customer's premises if safety concerns arise and/or proof of proper preparation of the facility is not provided upon request. Rescheduling startup and training service will depend on availability of Criveller's personnel. All costs associated with startup and training, including airfare, local travel, lodging, and meals during startup and training services are Customer's responsibility and Criveller may request prepayment if such costs were not already part of the Final Purchase Price. Additional technician days required beyond the estimated time quoted will be charged to Customer at a **per diem rate of \$950.00.**

16. Reverse Engineering. Customer agrees it will not reverse engineer or assist any other party with reverse engineering the Equipment or any components or parts thereof. Should Customer discover that any part of Criveller's Equipment has been reverse engineered by Customer or any third party, Customer shall promptly notify Criveller.

17. Notice. Customer shall give Seller prompt written notice of any potential claim, cause of action, or other dispute arising out of or relating to this Agreement within thirty (30) days after Customer first becomes aware of facts that are reasonably deemed to put Customer on notice of such potential claim, cause of action, or dispute. In such written notice, Customer shall provide details and factual support relating to such potential claim, cause of action, or dispute so that Criveller may investigate the same and so the parties can attempt to reach a resolution. Such written notice is a condition precedent to any lawsuit being filed that arises out of or relates to this Agreement. Unless otherwise provided, any notice under this Agreement shall be given in writing and shall be deemed effectively given (a) upon personal delivery to the party to be notified, (b) upon receipt if sent by electronic mail or similar transmission by the party to be notified, or (c) one business day after deposit with a reputable overnight courier, prepaid for overnight delivery. All notices, requests, consents, claims, demands, waivers, and other communications under this Agreement must be in writing and addressed to the respective party at such address as set forth for such party on the signature page hereto, or to such other address as such party may designate by ten days' advance written notice to the other party given in the foregoing manner.

18. Governing Law. This agreement is to be construed in accordance with the UCC as enacted in California and other applicable laws of the State of California.

19. Venue. The venue for any legal action between the parties shall be exclusively in Sonoma County, California. If either party violates this Section 19 by filing a claim in another state or county, such party shall pay any and all legal expenses fees and costs incurred by the other party associated with the transfer of such legal action to Sonoma County, California.

20. Dispute Resolution. The parties agree to resolve any and all disputes arising out of or in connection with this Agreement in the order set forth below. At all times during the dispute resolution process set forth in this Section 20, the parties will continue to satisfy their obligations under this Agreement. Notwithstanding the obligations of the parties in this Section 20, the parties may seek and obtain interim injunctions and similar relief, and may enforce compliance with this Section 20 and any agreed upon settlement in court.

a. Negotiations. In the event a dispute arises out of or in connection with this Agreement, within 20 days of a written request by either party, the parties will attempt in good faith to settle the dispute by negotiation and consultation between themselves.

b. Mediation. If the parties are unable to resolve their dispute through such direct negotiations, then either party may demand mediation and, within 20 days after such written demand is made by the other party, the parties will submit the matter to mediation using Judicial Arbitration and Mediation Services, Inc. ("JAMS"). The mediator will be an impartial attorney or retired judge with at least 5 years of experience interpreting similar contracts. If the parties are unable to agree upon a mediator, then JAMS will provide a list of 3 potential mediators and each party will strike one name from the list, with the remaining person to serve as the mediator. The mediation will occur in Santa Rosa, CA and the cost of mediation will be equally borne by the parties, with each party bearing their own attorneys' fees and costs.

c. **Arbitration.** Any dispute that arises out of this Agreement that cannot be resolved at mediation shall be determined by arbitration administered by JAMS in Santa Rosa, CA pursuant to JAMS' Streamlined Arbitration Rules and Procedures.

Judgment on an arbitration award may be entered in any court having jurisdiction. This clause shall not preclude the parties from seeking provisional remedies in aid of the arbitration from a court of appropriate jurisdiction. The prevailing party in any such proceeding will be entitled to recover its reasonable attorneys' fees and costs incurred in connection therewith.

21. **Amendment and Modification.** No amendment to or modification of this Agreement is effective unless in writing and signed by each party.

22. **Assignment.** Customer may not assign any of its rights or delegate any of its obligations under this Agreement without the prior written consent of Criveller. Criveller may assign any of its rights or delegate any of its obligations to any person. Any purported assignment or delegation in violation of this Section 22 is null and void. No assignment or delegation relieves the assigning or delegating party of any of its obligations under this Agreement.

23. **Successors and Assigns.** This Agreement is binding on and inure to the benefit of the parties and their respective permitted successors and permitted assigns.

24. **No Third-Party Beneficiaries.** Except as expressly provided herein, nothing in this Agreement, express or implied, is intended to confer upon any party other than the parties hereto, or their respective successors and assigns, any rights, remedies, obligations, or liabilities under or by reason of this Agreement.

25. **Interpretation; No Representations.** Buyer and Seller represent to each other that they are merchants with respect to the subject Equipment. No representations were made by Criveller or relied upon by Customer with respect to the Equipment or the terms of this Agreement. No agent, employee, or representative of Criveller made, or has any authority to bind Criveller by any warranty, representation, or affirmation concerning the Equipment, except as provided herein. Customer acknowledges that no such affirmation, warranty, or representation has been made, none has been relied upon, and none forms the basis of this Agreement. There are no understandings, agreements, representations or warranties either written or verbal, relative to the Equipment which are not fully expressed herein. No statements, recommendations or assistance made or offered by Criveller or Criveller's agents or by sales literature, in connection with the use of any Equipment shall constitute a waiver by Criveller of any of the provisions set forth herein. The provisions set forth herein supersede and cancel any previous understanding or agreement between the parties with respect to the subject matter hereof.

26. **No Waiver.** Any failure or omission by either party to enforce any provision of this Agreement shall not constitute a waiver thereof or of any other provisions hereof.

27. Further Assurances. Each party shall execute and deliver such papers, documents, and instruments, and perform such acts as are necessary or appropriate to implement the terms of this Agreement and the intent of the parties hereto.

28. Conflict of Terms. In the event of any inconsistency or conflict between the provisions of these Terms and Conditions and the terms and specifications set forth in the Quote, the terms of the Quote shall govern and control.

29. Entire Agreement. This Agreement, including the Quote, constitutes the sole and entire agreement of the parties with respect to the subject matter contained herein and therein, and supersedes all prior and contemporaneous understandings, agreements, representations and warranties, both written and oral, with respect to such subject matter.

30. Signatures. Each person whose signature appears below warrants that he or she is duly authorized to enter into this Agreement on behalf of the party indicated below. A signed copy of this Agreement delivered by facsimile, email, or other means of electronic transmission is deemed to have the same legal effect as delivery of an original signed copy of this Agreement.

[SIGNATURE PAGE FOLLOWS]

In WITNESS WHEREOF, the parties hereto have caused this Agreement to be executed as of the date first written above.

[CUSTOMER]



SIGNATURE

Brianna Lopez

[Signature]

By:

Its:

Date:

Address for Notice:

Email:

CRIVELLER CALIFORNIA CORPORATION, a
California corporation



SIGNATURE

Davide Criveller

[Signature]

By:

Its:

Date:

Address for Notice:

185 Grant Avenue

Healdsburg, CA 95448

Email:

Invoice: Request for Deposit



Criveller California Corp
185 Grant Ave
Healdsburg, CA 95448

Not yet accepted 20260150

Sold To:

Las Positas College / Campus Hill Winery

Description	Price	Qty	Subtotal
50% deposit due			

PAYMENT METHODS

CHECK PAYABLE TO:

Criveller California Corp.
185 Grant Ave
Healdsburg, CA 95448

Please include the quote number.

Copies of checks will only be accepted for time sensitive orders if client can overnight payment with an emailed copy of check and shipping receipt.

DOMESTIC - WIRE/ACH:

Telegraphic Name: Banner Bank Wal Wal
Institution City: Walla Walla, WA
Branch Address: 4845 Old Redwood Hwy Santa Rosa, Ca 95403
Branch Phone: 707.536.1500
ABA: 323371076
Beneficiary: Criveller California Corp.
Criveller Account Number: 012001677

INTERNATIONAL - WIRE/ACH:

Bank Name: Banner Bank
Bank Address: 10 S First Ave, Walla Walla, WA US
Branch Phone: 707.536.1500
SWIFT Code: BNNEUS66XXX
ABA: 323371076
Beneficiary: Criveller California Corp.
Criveller Account Number: 012001677



CHABOT – LAS POSITAS COMMUNITY COLLEGE DISTRICT

Vendor Profile Application

Return Completed Form to:

Contact Person Requesting Your Services

PLEASE TYPE OR PRINT.

For questions regarding this form or the application process, please contact the Purchasing Department at (925) 485-5230.

1. Vendor Name: <u>Criveller California Corporation</u> DBA (if any): _____ Check payable to <u>Criveller California Corporation</u>
2. This information must be supplied. If not , the application will be returned. W9 form Required. Federal ID Number <u>94</u> - <u>3343425</u> or Social Security Number _____ - _____ - _____ A. Federal Tax Classification ☐ Individual/Sole Proprietor (S) ☐ Joint Venture (J) ☐ Partnership ☐ Single-Member LLC ☒ Corporation (C), State where incorporated <u>Delaware</u> B. Is it a Non-Profit Organization? ☐ Yes ☒ No , If yes provide Tax-Exempt Form C. Business Start/Incorporation Date <u>01</u> / <u>01</u> / <u>2005</u>
3. Addresses A. <u>Primary/Mailing</u> Street <u>185 grant ave</u> City <u>Healdsburg</u> Zip Code <u>95448</u> Primary Contact Name <u>Davide Criveller</u> Phone (<u>805</u>) <u>794</u> - <u>0667</u> Ext. _____ Fax (_____) _____ - _____ Email _____ B. <u>Order (for Purchase Orders, if different from above)</u> Street _____ City _____ Zip Code _____ Primary Contact Name _____ Phone (_____) _____ - _____ Ext. _____ Fax (_____) _____ - _____ Email _____ C. <u>Remittance (for checks if different from above)</u> Street _____ City _____ Zip Code _____ Primary Contact Name _____ Phone (_____) _____ - _____ Ext. _____ Fax (_____) _____ - _____ Email _____
4. Vendor Category ☐ Disabled Veteran ☐ Minority Owned ☒ Small Business ☐ Women Owned
5. Type of Business: Check the one which best describe your company: ☒ Broker ☒ Manufacturer ☒ Manufacturer's Rep ☐ Wholesaler ☐ Retailer <u>Service</u> ☐ Architect, Engineer, Construction ☐ Professional ☐ Other
6. Sales Tax Collection ☒ Collects all Sales/Use Tax for Alameda County ☐ Collects Selected Taxes _____ % ☐ Does not collect Sales Tax California Seller or Use Tax Permit Number _____ Do you supply recycled products? ☐ Yes ☐ No
7. Type of commodities or services that your business provides <u>Equipment for wineries and breweries</u>
8. Name of person completing the form Name <u>Davide Criveller</u> Title <u>CEO</u> Phone No. <u>8057940667</u> Signature <u><i>Davide Crivelle</i></u> Date <u>10.7.26</u> Email Address: <u>davide@criveller.com</u>

DO NOT COMPLETE – For CLPCCD use only ☐ New ☐ Updated

Received by Purchasing on _____ VENDOR NO. _____

Form **W-9** (Rev. 3-2024)

must obtain your correct taxpayer identification number (TIN), which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid).
- Form 1099-DIV (dividends, including those from stocks or mutual funds).
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds).
- Form 1099-NEC (nonemployee compensation).
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers).
- Form 1099-S (proceeds from real estate transactions).
- Form 1099-K (merchant card and third-party network transactions).
- Form 1098 (home mortgage interest), 1098-E (student loan interest), and 1098-T (tuition).
- Form 1099-C (canceled debt).
- Form 1099-A (acquisition or abandonment of secured property).

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

Caution: If you don't return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding*, later.

By signing the filled-out form, you:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued);
2. Certify that you are not subject to backup withholding; or
3. Claim exemption from backup withholding if you are a U.S. exempt payee; and
4. Certify to your non-foreign status for purposes of withholding under chapter 3 or 4 of the Code (if applicable); and
5. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting is correct. See *What Is FATCA Reporting*, later, for further information.

Note: If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien;
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States;
- An estate (other than a foreign estate); or
- A domestic trust (as defined in Regulations section 301.7701-7).

Establishing U.S. status for purposes of chapter 3 and chapter 4 withholding. Payments made to foreign persons, including certain distributions, allocations of income, or transfers of sales proceeds, may be subject to withholding under chapter 3 or chapter 4 of the Code (sections 1441–1474). Under those rules, if a Form W-9 or other certification of non-foreign status has not been received, a withholding agent, transferee, or partnership (payor) generally applies presumption rules that may require the payor to withhold applicable tax from the recipient, owner, transferor, or partner (payee). See Pub. 515, *Withholding of Tax on Nonresident Aliens and Foreign Entities*.

The following persons must provide Form W-9 to the payor for purposes of establishing its non-foreign status.

- In the case of a disregarded entity with a U.S. owner, the U.S. owner of the disregarded entity and not the disregarded entity.
- In the case of a grantor trust with a U.S. grantor or other U.S. owner, generally, the U.S. grantor or other U.S. owner of the grantor trust and not the grantor trust.
- In the case of a U.S. trust (other than a grantor trust), the U.S. trust and not the beneficiaries of the trust.

See Pub. 515 for more information on providing a Form W-9 or a certification of non-foreign status to avoid withholding.

Foreign person. If you are a foreign person or the U.S. branch of a foreign bank that has elected to be treated as a U.S. person (under Regulations section 1.1441-1(b)(2)(iv) or other applicable section for chapter 3 or 4 purposes), do not use Form W-9. Instead, use the appropriate Form W-8 or Form 8233 (see Pub. 515). If you are a qualified foreign pension fund under Regulations section 1.897(l)-1(d), or a partnership that is wholly owned by qualified foreign pension funds, that is treated as a non-foreign person for purposes of section 1445 withholding, do not use Form W-9. Instead, use Form W-8EXP (or other certification of non-foreign status).

Nonresident alien who becomes a resident alien. Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a saving clause. Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items.

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
2. The treaty article addressing the income.
3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
4. The type and amount of income that qualifies for the exemption from tax.
5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

Example. Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if their stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first Protocol) and is relying on this exception to claim an exemption from tax on their scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity, give the requester the appropriate completed Form W-8 or Form 8233.

Backup Withholding

What is backup withholding? Persons making certain payments to you must under certain conditions withhold and pay to the IRS 24% of such payments. This is called “backup withholding.” Payments that may be subject to backup withholding include, but are not limited to, interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, payments made in settlement of payment card and third-party network transactions, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

Payments you receive will be subject to backup withholding if:

1. You do not furnish your TIN to the requester;
2. You do not certify your TIN when required (see the instructions for Part II for details);
3. The IRS tells the requester that you furnished an incorrect TIN;
4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only); or
5. You do not certify to the requester that you are not subject to backup withholding, as described in item 4 under “*By signing the filled-out form*” above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See *Exempt payee code*, later, and the separate Instructions for the Requester of Form W-9 for more information.

See also *Establishing U.S. status for purposes of chapter 3 and chapter 4 withholding*, earlier.

What Is FATCA Reporting?

The Foreign Account Tax Compliance Act (FATCA) requires a participating foreign financial institution to report all U.S. account holders that are specified U.S. persons. Certain payees are exempt from FATCA reporting. See *Exemption from FATCA reporting code*, later, and the Instructions for the Requester of Form W-9 for more information.

Updating Your Information

You must provide updated information to any person to whom you claimed to be an exempt payee if you are no longer an exempt payee and anticipate receiving reportable payments in the future from this person. For example, you may need to provide updated information if you are a C corporation that elects to be an S corporation, or if you are no longer tax exempt. In addition, you must furnish a new Form W-9 if the name or TIN changes for the account, for example, if the grantor of a grantor trust dies.

Penalties

Failure to furnish TIN. If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

Civil penalty for false information with respect to withholding. If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

Criminal penalty for falsifying information. Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

Misuse of TINs. If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

Specific Instructions

Line 1

You must enter one of the following on this line; **do not** leave this line blank. The name should match the name on your tax return.

If this Form W-9 is for a joint account (other than an account maintained by a foreign financial institution (FFI)), list first, and then circle, the name of the person or entity whose number you entered in Part I of Form W-9. If you are providing Form W-9 to an FFI to document a joint account, each holder of the account that is a U.S. person must provide a Form W-9.

• **Individual.** Generally, enter the name shown on your tax return. If you have changed your last name without informing the Social Security Administration (SSA) of the name change, enter your first name, the last name as shown on your social security card, and your new last name.

Note for ITIN applicant: Enter your individual name as it was entered on your Form W-7 application, line 1a. This should also be the same as the name you entered on the Form 1040 you filed with your application.

• **Sole proprietor.** Enter your individual name as shown on your Form 1040 on line 1. Enter your business, trade, or "doing business as" (DBA) name on line 2.

• **Partnership, C corporation, S corporation, or LLC, other than a disregarded entity.** Enter the entity's name as shown on the entity's tax return on line 1 and any business, trade, or DBA name on line 2.

• **Other entities.** Enter your name as shown on required U.S. federal tax documents on line 1. This name should match the name shown on the charter or other legal document creating the entity. Enter any business, trade, or DBA name on line 2.

• **Disregarded entity.** In general, a business entity that has a single owner, including an LLC, and is not a corporation, is disregarded as an entity separate from its owner (a disregarded entity). See Regulations section 301.7701-2(c)(2). A disregarded entity should check the appropriate box for the tax classification of its owner. Enter the owner's name on line 1. The name of the owner entered on line 1 should never be a disregarded entity. The name on line 1 should be the name shown on the income tax return on which the income should be reported. For

example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a single owner that is a U.S. person, the U.S. owner's name is required to be provided on line 1. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity's name on line 2. If the owner of the disregarded entity is a foreign person, the owner must complete an appropriate Form W-8 instead of a Form W-9. This is the case even if the foreign person has a U.S. TIN.

Line 2

If you have a business name, trade name, DBA name, or disregarded entity name, enter it on line 2.

Line 3a

Check the appropriate box on line 3a for the U.S. federal tax classification of the person whose name is entered on line 1. Check only one box on line 3a.

IF the entity/individual on line 1 is a(n) . . .	THEN check the box for . . .
• Corporation	Corporation.
• Individual or • Sole proprietorship	Individual/sole proprietor.
• LLC classified as a partnership for U.S. federal tax purposes or • LLC that has filed Form 8832 or 2553 electing to be taxed as a corporation	Limited liability company and enter the appropriate tax classification: P = Partnership, C = C corporation, or S = S corporation.
• Partnership	Partnership.
• Trust/estate	Trust/estate.

Line 3b

Check this box if you are a partnership (including an LLC classified as a partnership for U.S. federal tax purposes), trust, or estate that has any foreign partners, owners, or beneficiaries, and you are providing this form to a partnership, trust, or estate, in which you have an ownership interest. You must check the box on line 3b if you receive a Form W-8 (or documentary evidence) from any partner, owner, or beneficiary establishing foreign status or if you receive a Form W-9 from any partner, owner, or beneficiary that has checked the box on line 3b.

Note: A partnership that provides a Form W-9 and checks box 3b may be required to complete Schedules K-2 and K-3 (Form 1065). For more information, see the Partnership Instructions for Schedules K-2 and K-3 (Form 1065).

If you are required to complete line 3b but fail to do so, you may not receive the information necessary to file a correct information return with the IRS or furnish a correct payee statement to your partners or beneficiaries. See, for example, sections 6698, 6722, and 6724 for penalties that may apply.

Line 4 Exemptions

If you are exempt from backup withholding and/or FATCA reporting, enter in the appropriate space on line 4 any code(s) that may apply to you.

Exempt payee code.

- Generally, individuals (including sole proprietors) are not exempt from backup withholding.
- Except as provided below, corporations are exempt from backup withholding for certain payments, including interest and dividends.
- Corporations are not exempt from backup withholding for payments made in settlement of payment card or third-party network transactions.
- Corporations are not exempt from backup withholding with respect to attorneys' fees or gross proceeds paid to attorneys, and corporations that provide medical or health care services are not exempt with respect to payments reportable on Form 1099-MISC.

The following codes identify payees that are exempt from backup withholding. Enter the appropriate code in the space on line 4.

1—An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2).

- 2—The United States or any of its agencies or instrumentalities.
- 3—A state, the District of Columbia, a U.S. commonwealth or territory, or any of their political subdivisions or instrumentalities.
- 4—A foreign government or any of its political subdivisions, agencies, or instrumentalities.
- 5—A corporation.
- 6—A dealer in securities or commodities required to register in the United States, the District of Columbia, or a U.S. commonwealth or territory.
- 7—A futures commission merchant registered with the Commodity Futures Trading Commission.
- 8—A real estate investment trust.
- 9—An entity registered at all times during the tax year under the Investment Company Act of 1940.
- 10—A common trust fund operated by a bank under section 584(a).
- 11—A financial institution as defined under section 581.
- 12—A middleman known in the investment community as a nominee or custodian.
- 13—A trust exempt from tax under section 664 or described in section 4947.

The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 13.

IF the payment is for . . .	THEN the payment is exempt for . . .
• Interest and dividend payments	All exempt payees except for 7.
• Broker transactions	Exempt payees 1 through 4 and 6 through 11 and all C corporations. S corporations must not enter an exempt payee code because they are exempt only for sales of noncovered securities acquired prior to 2012.
• Barter exchange transactions and patronage dividends	Exempt payees 1 through 4.
• Payments over \$600 required to be reported and direct sales over \$5,000 ¹	Generally, exempt payees 1 through 5. ²
• Payments made in settlement of payment card or third-party network transactions	Exempt payees 1 through 4.

¹ See Form 1099-MISC, Miscellaneous Information, and its instructions.

² However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney reportable under section 6045(f), and payments for services paid by a federal executive agency.

Exemption from FATCA reporting code. The following codes identify payees that are exempt from reporting under FATCA. These codes apply to persons submitting this form for accounts maintained outside of the United States by certain foreign financial institutions. Therefore, if you are only submitting this form for an account you hold in the United States, you may leave this field blank. Consult with the person requesting this form if you are uncertain if the financial institution is subject to these requirements. A requester may indicate that a code is not required by providing you with a Form W-9 with "Not Applicable" (or any similar indication) entered on the line for a FATCA exemption code.

A—An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37).

B—The United States or any of its agencies or instrumentalities.

C—A state, the District of Columbia, a U.S. commonwealth or territory, or any of their political subdivisions or instrumentalities.

D—A corporation the stock of which is regularly traded on one or more established securities markets, as described in Regulations section 1.1472-1(c)(1)(i).

E—A corporation that is a member of the same expanded affiliated group as a corporation described in Regulations section 1.1472-1(c)(1)(i).

F—A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state.

G—A real estate investment trust.

H—A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940.

I—A common trust fund as defined in section 584(a).

J—A bank as defined in section 581.

K—A broker.

L—A trust exempt from tax under section 664 or described in section 4947(a)(1).

M—A tax-exempt trust under a section 403(b) plan or section 457(g) plan.

Note: You may wish to consult with the financial institution requesting this form to determine whether the FATCA code and/or exempt payee code should be completed.

Line 5

Enter your address (number, street, and apartment or suite number). This is where the requester of this Form W-9 will mail your information returns. If this address differs from the one the requester already has on file, enter "NEW" at the top. If a new address is provided, there is still a chance the old address will be used until the payor changes your address in their records.

Line 6

Enter your city, state, and ZIP code.

Part I. Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. If you are a resident alien and you do not have, and are not eligible to get, an SSN, your TIN is your IRS ITIN. Enter it in the entry space for the Social security number. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN.

If you are a single-member LLC that is disregarded as an entity separate from its owner, enter the owner's SSN (or EIN, if the owner has one). If the LLC is classified as a corporation or partnership, enter the entity's EIN.

Note: See *What Name and Number To Give the Requester*, later, for further clarification of name and TIN combinations.

How to get a TIN. If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local SSA office or get this form online at www.SSA.gov. You may also get this form by calling 800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at www.irs.gov/EIN. Go to www.irs.gov/Forms to view, download, or print Form W-7 and/or Form SS-4. Or, you can go to www.irs.gov/OrderForms to place an order and have Form W-7 and/or Form SS-4 mailed to you within 15 business days.

If you are asked to complete Form W-9 but do not have a TIN, apply for a TIN and enter "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, you will generally have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

Note: Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon. See also *Establishing U.S. status for purposes of chapter 3 and chapter 4 withholding*, earlier, for when you may instead be subject to withholding under chapter 3 or 4 of the Code.

Caution: A disregarded U.S. entity that has a foreign owner must use the appropriate Form W-8.

Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if item 1, 4, or 5 below indicates otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on line 1 must sign. Exempt payees, see *Exempt payee code*, earlier.

Signature requirements. Complete the certification as indicated in items 1 through 5 below.

1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983. You must give your correct TIN, but you do not have to sign the certification.

2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983. You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

3. Real estate transactions. You must sign the certification. You may cross out item 2 of the certification.

4. Other payments. You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments made in settlement of payment card and third-party network transactions, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).

5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), ABLE accounts (under section 529A), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions. You must give your correct TIN, but you do not have to sign the certification.

What Name and Number To Give the Requester

For this type of account:	Give name and SSN of:
1. Individual	The individual
2. Two or more individuals (joint account) other than an account maintained by an FFI	The actual owner of the account or, if combined funds, the first individual on the account ¹
3. Two or more U.S. persons (joint account maintained by an FFI)	Each holder of the account
4. Custodial account of a minor (Uniform Gift to Minors Act)	The minor ²
5. a. The usual revocable savings trust (grantor is also trustee)	The grantor-trustee ¹
b. So-called trust account that is not a legal or valid trust under state law	The actual owner ¹
6. Sole proprietorship or disregarded entity owned by an individual	The owner ³
7. Grantor trust filing under Optional Filing Method 1 (see Regulations section 1.671-4(b)(2)(i)(A))**	The grantor*

For this type of account:	Give name and EIN of:
8. Disregarded entity not owned by an individual	The owner
9. A valid trust, estate, or pension trust	Legal entity ⁴
10. Corporation or LLC electing corporate status on Form 8832 or Form 2553	The corporation
11. Association, club, religious, charitable, educational, or other tax-exempt organization	The organization
12. Partnership or multi-member LLC	The partnership
13. A broker or registered nominee	The broker or nominee
14. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity
15. Grantor trust filing Form 1041 or under the Optional Filing Method 2, requiring Form 1099 (see Regulations section 1.671-4(b)(2)(i)(B))**	The trust

¹ List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

² Circle the minor's name and furnish the minor's SSN.

³ You must show your individual name on line 1, and enter your business or DBA name, if any, on line 2. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

⁴ List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.)

* **Note:** The grantor must also provide a Form W-9 to the trustee of the trust.

** For more information on optional filing methods for grantor trusts, see the Instructions for Form 1041.

Note: If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

Secure Your Tax Records From Identity Theft

Identity theft occurs when someone uses your personal information, such as your name, SSN, or other identifying information, without your permission to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- Protect your SSN,
- Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax return preparer.

If your tax records are affected by identity theft and you receive a notice from the IRS, respond right away to the name and phone number printed on the IRS notice or letter.

If your tax records are not currently affected by identity theft but you think you are at risk due to a lost or stolen purse or wallet, questionable credit card activity, or a questionable credit report, contact the IRS Identity Theft Hotline at 800-908-4490 or submit Form 14039.

For more information, see Pub. 5027, Identity Theft Information for Taxpayers.

Victims of identity theft who are experiencing economic harm or a systemic problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 877-777-4778 or TTY/TDD 800-829-4059.

Protect yourself from suspicious emails or phishing schemes.

Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to phishing@irs.gov. You may also report misuse of the IRS name, logo, or other IRS property to the Treasury Inspector General for Tax Administration (TIGTA) at 800-366-4484. You can forward suspicious emails to the Federal Trade Commission at spam@uce.gov or report them at www.ftc.gov/complaint. You can contact the FTC at www.ftc.gov/idtheft or 877-IDTHEFT (877-438-4338). If you have been the victim of identity theft, see www.IdentityTheft.gov and Pub. 5027.

Go to www.irs.gov/IdentityTheft to learn more about identity theft and how to reduce your risk.

Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons (including federal agencies) who are required to file information returns with the IRS to report interest, dividends, or certain other income paid to you; mortgage interest you paid; the acquisition or abandonment of secured property; the cancellation of debt; or contributions you made to an IRA, Archer MSA, or HSA. The person collecting this form uses the information on the form to file information returns with the IRS, reporting the above information. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation and to cities, states, the District of Columbia, and U.S. commonwealths and territories for use in administering their laws. The information may also be disclosed to other countries under a treaty, to federal and state agencies to enforce civil and criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism. You must provide your TIN whether or not you are required to file a tax return. Under section 3406, payors must generally withhold a percentage of taxable interest, dividends, and certain other payments to a payee who does not give a TIN to the payor. Certain penalties may also apply for providing false or fraudulent information.

**PROPOSAL
NO. 26-0028**

TO: BRIANNA LOPEZ
LAS POSITAS VINEYARDS
1828 WETMORE RD
LIVERMORE, CA 94550

DATE: 10/7/2026**PRICE*FOB
PETALUMA, CA**

SUBJECT: VLS UNICO FILTER



UNICO FILTER

VLS UNICO 6+2 COMBINATION CROSSFLOW FILTER:

The **NEW Unico 6+2** filter combines the technology of lees filtration with stainless steel membranes and wine filtration with capillary membranes to create a single combination crossflow unit that eliminates yeasts, bacteria and suspended solids which results in a product that is perfectly clear.

Features:

- Capillary membranes for microfiltration
- Stainless steel membrane technology for lees filtration
- Automated operation and cleaning for reduced operator labor
- Reduced wine losses
- Compact and mobile design
- Filters product to turbidity below 1 NTU
- Significantly reduces product loss
- Retention of color, structure, aroma and taste
- Filters both wine and lees.

Standard Construction Includes:

- Entirely built in AISI 304 stainless steel
- Stainless steel centrifugal feed and recycling pumps;
- 316 SS Pre-filter at inlet, sight glasses, sample valve, digital flow-meter, temperature probe, pressure gauges;
- Modular design, allowing for the addition of filter elements at a later time;
- Compact sized unit on swiveling wheels
- Glycol inlet for temperature control of the *LEES* membrane
- Flow rates will be determined by configuration and product to be filtered.
- PLC with Full touch screen for automatic filter cycles, allows user to easily set all filtration parameters
- Minimum Air requirements: 90 PSI

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UNICO 6+2 AUTOMATIC COMBINATION CROSSFLOW

\$145,000.00

- **Qty. (2)** AISI 316L stainless steel *LEES* membranes for a total of 20 Square meters of surface area.
- **Qty. (6)** PES capillary *WINE* membranes. For a total of 60 square meters of surface area.
- Total installed power: 21.5kw.

SPECIAL FEATURES

INCLUDED

- Chemical Dosing
- Diffusion Pulse System
- Self-cleaning Prefilter
- Management by Modem

Commissioning/training with technician

\$2800.00

Total tax (USD) @ 10.25% rate

\$14,862.50

***Approximate *Lees* Flow Rates:**

90-200 Gallons per hour

***Approximate *Wine* Flow Rates:**

480-600 Gallons per hour

***Flow rates are approximate and will vary depending on the type of wine and the amount of solids.**

**** voltage: 480/3/60****



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Structure:

The Unico 6+2 unit is composed of 1 or 2 stainless steel membranes and 1, 2 or 3 banks of (3) PES wine capillary membranes, pumps, control instruments, valves and control panel.

Application:

- Microfiltration of Wine
- Lees from flotation
- Lees from sedimentation
- Lees from treatment (clarification, bentonite, coal etc.)
- Retentate from crossflow filtration

Wine PES - Membranes:

Material: Food grade Polyethersulfone.

3 membranes per bank, each membrane contains 10sqm of surface area.

Inner diameter of the capillary: 1.5 mm.

Porosity: 0.2um.

Max temperature of 70 degrees Celsius and 158 degrees Fahrenheit

The high-quality material used results in a reduction of pressure drops and an easy to clean / uniform filtration throughout the membrane.

Stainless Steel Membrane:

Material: Completely manufactured in sintered stainless steel AISI 316L

1 membrane Installed in a vertical housing; contains 9.5sqm of surface area.

Porosity: 0.2um.

Max temperature of 150 degrees Celsius and 302 degrees Fahrenheit.

The material composition makes it particularly resistant and reliable for very difficult to filter product with high transmembrane pressure and can be sanitized with high temperature steam.

The membranes are not susceptible to breaking in case of thermal shocks.

Feeding Group (central unit):

Consist of 1 Feed pump controlled with VFD and a stainless steel PREFILTER located after the feed pump to protect the pumps and membranes from residues of stems, skins and other solids.

Recirculation Group for Wine:

Each recirculation group for wine consists of a centrifugal pump, and 3 vertical housings connected in parallel through a large pipe for recirculation. A centrifugal pump feeds the product into the recirculation loop and stainless membranes. Each membrane is installed inside a vertical housing. The filtrate is sent to the filter outlet or (optional) storage tank (not included in the offer). The retentate is recirculated in the feed tank or sent to a separate tank (not included in the offer).

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PETALUMA, CA****SUBJECT: VLS UNICO FILTER**

Recirculation Group for Lees:

A centrifugal pump feeds the product into the recirculation loop and stainless membrane. Each membrane is installed inside a vertical housing. The filtered product is sent to the filter outlet or (optional) storage tank.

Cleaning of the Membranes:

To keep the flow rate high during the filtration phase, the membrane cleaning is regulated by an automatic self-cleaning system called **P.R.S.** (pressure release system). Periodically the pneumatic valve on the outlet closes and the pumps lock for a few seconds so that the particles in contact with the membranes get pulled away. The operator has the ability to adjust the filtration time and the duration of the stop depending on product type. Membranes require periodical washes with chemicals; in general, they must be executed once per week or when switching between red lees and white lees or when the filter is not used for days. If the filter is regularly used and the type of product does not change, then a simple rinsing with hot water between one run and the other can be enough.

Instrumentation of Control:

The filter comes equipped with all the necessary instrumentation for automatic operation including pneumatic valves, pressure transducers, digital flowmeter and probe for temperature control. The working pressure and the flow rates are regulated thanks to the inverter on the feed pump. The filter retains the preset flow rate, automatically adjusting the working pressure up to the maximum safety value. The filter stops when the quantity of filtrate is reached, when the product to be filtered is finished or when the max TMP (transmembrane pressure) is reached indicating the need for a cleaning.

All the phases are automatically performed: filling, filtering, discharging/emptying and cleaning.

Station for Chemical Dosing:

The cleaning is automatically performed according to the settings from the operator; chemicals (acid, caustic and oxide) are automatically dosed. The dosing is executed from 1 dedicated air dosing pump configured with a 3-way manifold. The tank acts as a service tank for dosing the chemicals, recirculates the cleaning solution and contains a high pressure centrifugal pump for back washing.

Control Panel:

The control panel is made in Stainless Steel. The PLC comes with command interface and a touch screen which allows the setting of all the relevant parameters, particular flow rate, working/stop time and the quantity of product to be filtered. A pressure regulator is installed on the bottom side of the control panel for nitrogen or Co2 injection to aid in the discharging/emptying of the filter at the end of the filtration phase.



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TERMS OF SALE:
90% PRIOR TO SHIPMENT
10% DUE NET 30

Delivery: IN STOCK UNIT

Please note that the specifications shown on brochures and in this quotation are subject to periodic review and change by the manufacturer
TO: SCOTT LABORATORIES, INC. favorably entertain your offer to purchase under the terms
and conditions set forth above and on the reverse side.

We hereby offer to purchase the item(s) described above:

SCOTT LABORATORIES

Please use our Purchase Order No. _____

DATE _____ COMPANY _____

By: _____

CODY SCOTT

By: _____

This proposal automatically expires **in 30 days.** _____

Under conditions as presently known to us we would

TERMS AND CONDITIONS

GENERAL

1. These General Conditions of Sale (the “GCS”) govern the sale and delivery of all products, articles, materials, and equipment (the “Goods”) and/or services from or on behalf of Scott Laboratories, Ltd or Scott Laboratories Inc whichever is the entity indicated as seller in the relevant Order Confirmation (“Scott Labs” or the “Seller”), to Buyer.

2. In case of any discrepancies between these GCS and any supply agreement in place between Buyer and Seller, the provisions of the supply agreement will prevail.

3. The GCS are deemed accepted by Buyer if the Buyer has not objected in writing within 7 days after receipt of Scott Labs acceptance of any order.

4. These GCS supersede any and all prior oral or written price quotations, communications, agreements, and understandings of the Parties in respect of the sale and delivery of the Goods and/or services and supersede and replace any and all terms and conditions of any order placed by Buyer and any other terms and conditions of Buyer. These GCS can only be modified or waived by a duly executed written agreement between Scott Labs and Buyer.

5. Any electronic communication between Scott Labs and Buyer shall be considered to be a “writing” and/or “in writing”. The electronic communication system used by Scott Labs will serve as sole proof for the receipt of such electronic communication, its content, and dates of agreed shipment.

6. Orders are considered binding only once accepted in writing by Scott Labs. Scott Labs may refuse an order without cause.

SALES CONFIRMATION

7. This proposal is only a request for an offer to purchase. All orders are subject to active and formal acceptance at Petaluma, CA. The Sales Confirmation, constitutes acceptance of price, terms and the complete list of products and services. It is agreed that the Order Confirmation is the final and entire agreement between Scott Laboratories and the Purchaser. The Order Confirmation will supersede any prior verbal or written communication or agreement made prior to the receipt of the Sales Confirmation

PRICE

8. Prices and currencies for Scott Labs’s Goods are set out in Scott Labs quotation or Order Confirmation. Unless otherwise agreed, Scott Labs’s prices include standard packaging and Sales and Use Taxes will be added to invoices separately, as required, except if Scott Labs is provided with proper exemption or registration number prior to sale.

PAYMENT

9. Payment terms are 30% deposit with order; 60% deposit plus applicable sales tax, before delivery. The balance is due 30 days after delivery.

10. Invoices are payable to Scott Labs in the currency indicated on the invoice. Any change in exchange rate of the Buyer's home currency is not applicable. Any additional payment related fees are paid for by the Buyer.

11. Terms granted for the payment of an invoice are to be considered an ultimate deadline. Scott Labs may without prejudice to any other rights, charge interests which shall accrue on all outstanding amounts whether for the purchase price or otherwise at the rate of EURIBOR 12 months +6% calculated monthly on all

outstanding amounts until fully paid. All costs incurred by Scott Labs in the collection of any outstanding invoice shall be reimbursed by Buyer. In case of late payment of any order, Scott Labs may postpone the delivery of any other order until the price of the Goods is paid in full.

Assignability Clause – Supplier Discretion

The Supplier may assign or transfer this Agreement, in whole or in part, at its sole discretion to any third party, provided that such third party assumes all obligations under this Agreement and can support the delivery technical support of the related equipment. The Buyer shall not assign or transfer this Agreement without the prior written consent of the Supplier. Any attempted assignment in violation of this provision shall be null and void.

12. Any complaint with respect to an invoice must be notified in writing to Scott Labs within 8 days of the invoice date. After that delay, Buyer is deemed to have accepted said invoice.

DELIVERY AND RECEIPT

13. Advance information regarding date of shipment is for information purposes only, and based upon our best judgement at the time the order is accepted. This information should not be construed as a confirmation of delivery date. There are no guarantees on ship dates and the duration of transit time. Scott Laboratories is unable to affect many of the factors which may hasten, or delay transit of international cargo. Purchaser agrees that delivery time is the sole risk of Purchaser, unless otherwise agreed to in writing and confirmed in the Sales Confirmation.

14. Any time or dates for delivery indicated on any invoice are indicative and shall not be of the essence. Scott Labs is not liable for any delay in delivery whatever the cause may be. Delay in delivery of any Goods shall not relieve Buyer of its obligation to accept delivery and pay the

invoice. Deviations in quantities of Goods delivered from what's stated in Scott Labs' order confirmation shall not permit Buyer to refuse the Goods.

15. Freight carrier chosen by Scott Labs according to incoterms applicable to the sale, is deemed to act on behalf of Buyer. If damages are noted upon delivery of the Goods, no recourse may be exercised against Scott Labs.

16. Irrespective of any provision concerning freight or price, title and risk of loss or damage shall pass to Purchaser upon delivery of goods to any carrier at Seller's plant or other shipping point. We may assist you in processing claims against carriers without incurring liability therefor.

INSPECTION AND CONFORMITY TO SPECIFICATIONS

17. Equipment manufactured in countries other than the United States is generally manufactured to conform to the safety, electrical and other standard which apply to the country of origin. Responsibility for compliance with O.S.H.A. regulations or local codes shall be solely that of the Purchaser.

18. Upon delivery and during the handling, use, processing, transportation, storage or sale of the Goods, Buyer must inspect the Goods upon receipt and ensure that the Goods delivered meet all contractual requirements.

19. Complaints concerning any defect, default, or shortage of the Goods which would have been apparent from a reasonable inspection shall be made in writing and received by Scott Labs no later than fifteen (15) days from the delivery date. Other complaints must be made in writing and received by Scott Labs (at the latest) seven (7) days from the date on which the defect became or should have become apparent, but in any case, not later than six (6) months from the delivery date of the Goods. Use or processing of the Goods shall be deemed to be

an unconditional acceptance of the Goods and a waiver of all claims in respect of such Goods.

20. Defects to parts of the Goods do not entitle Buyer to refuse the delivery of the entire order. Complaints, if any, do not affect Buyer payment obligation. Upon receipt of a notice of defect, Scott Labs is entitled to suspend all further deliveries until the complaints are proven to be unfounded and/or refuted or until the defect has been totally cured.

RISK

21. Risks to the Goods shall transfer to Buyer according to the applicable sale's Incoterm.

22. Goods for which delivery is postponed pending payment by Buyer, as well as Goods for which delivery is refused or not accepted by Buyer without proper cause shall be held and stored by Scott Labs at the risk and expense of Buyer.

WARRANTY

23. New equipment is guaranteed for 1 full year (365 days) from date of receipt of equipment against all mechanical factory defects of material or workmanship. Electrical components of new equipment are guaranteed for 30 days upon commissioning or start up. This guaranty is limited to free replacement or repair of parts at our earliest availability, including freight and labor. Purchaser may request expedited shipping for parts or service scheduling and Scott Laboratories retains the right to invoice customer for such extraordinary expenses. THE FOREGOING WARRANTY IS EXCLUSIVE AND IN LIEU OF ALL OTHER WARRANTIES EXPRESSED, IMPLIED, STATUTORY OR ANY OTHER REPRESENTATION, CONDITIONS OR TERMS, INCLUDING WITHOUT LIMITATION ANY WARRANTY OF MERCHANTABILITY, SUITABILITY FOR ANY PURPOSE AND/OR INFRINGEMENT OF ANY CLAIM IN ANY

INTELLECTUAL PROPERTY RIGHT COVERING THE GOODS.

LIMITATION OF LIABILITY AND INDEMNITY

24. SCOTT LABS' LIABILITY FOR ANY AND ALL CLAIMS FOR DAMAGES ARISING OUT OF OR IN CONNECTION WITH THE GOODS AND THE USE THEREFORE SHALL UNDER NO CIRCUMSTANCES EXCEED THE SUM OF BUYER'S PAYMENTS FOR THE GOODS WHICH ARE SUBJECT TO THE CLAIM. UNDER NO CIRCUMSTANCES SHALL SCOTT LABS BE LIABLE TO BUYER OR ANY OTHER PERSON FOR ANY KIND OF SPECIAL, INCIDENTAL, INDIRECT, OR PUNITIVE DAMAGE, LOSS, COST OR EXPENSE, INCLUDING WITHOUT LIMITATION DAMAGE BASED UPON LOSS OF GOODWILL, LOST SALES OR PROFITS, LOSS OF IMAGE, WORK STOPPAGE, PRODUCTION FAILURE, IMPAIRMENT OF OTHER GOODS OR OTHERWISE, AND WHETHER ARISING OUT OF OR IN CONNECTION WITH BREACH OF WARRANTY, BREACH OF CONTRACT, MISREPRESENTATION, NEGLIGENCE OR OTHERWISE.

25. The Buyer shall indemnify and hold harmless Scott Labs and its affiliates, officers, directors, agents and employees against any and all liability, damage, loss, cost or expense (including reasonable attorney fees) suit, claim, demand, judgement, and prosecution whether based on civil, legal or penal liability from any third party or any regulatory authority, arising from: (i) the Buyer's failure to comply with applicable laws and regulations; or (ii) the sale, marketing, distribution, promotion, storage, handling of the Goods by the Buyer or its affiliates or its own distributors; or (iii) faulty acts or omissions of the Buyer, that of its suppliers, subcontractors, agents, servants or employees or (iv) any claim made by the Buyer on the packaging and promotional material

related to the Goods or any finished product made of or containing the Goods.

FORCE MAJEURE

26. The period of time during which any party is prevented or delayed in the performance or the fulfilling of any obligation to the exclusion of payments obligations, due to unavoidable delays caused by an event of force majeure, shall be added to such party's delay for performance thereof, and such party shall have no liability by reason thereof, provided that a prompt notice is given by the party affected by an event of force majeure to the other along with competent proof of the occurrence of the event affecting its performance. The party so affected shall also give a notice in writing to the other party within fifteen (15) days of the cessation of the condition of the event of force majeure. If the condition of the event of force majeure continues for more than three (3) months from the date of its occurrence, either party may terminate the order upon giving notice in writing to the other.

WAIVER

27. Failure or delay on the part of any party hereto to exercise any rights, privilege, remedy or power pursuant to these GCS does not act as a waiver of any such rights, privilege, remedy or power.

SEVERANCE OF PROVISION

28. In the event that any provision of these GCS is declared to be illegal, invalid or otherwise unenforceable, such provision shall be reformed, if possible, or otherwise deleted and the remainder of these GCS shall not be affected.

INTELLECTUAL PROPERTY

29. All intellectual property and regulatory dossiers related to the Goods are Scott Labs' sole property.

PERSONAL DATA

30. As some personal data of a Buyer, natural person, is necessary to process orders and manage the commercial relationship, in placing an order with Scott Labs the customer gives his/her consent to the processing of the personal data which he/she discloses to Scott Labs. The Buyer may withdraw the consent at any time. The disclosed data is stored on Scott Labs Clients' file and Scott Labs has implemented appropriate technical and organizational measures to insure the protection of personal data under its control. In accordance with applicable laws and regulations, the customer has the right of access, rectification, erasure, restriction of processing, objection, opposition, and data portability of his/her personal data, which has been collected to process the order and manage the commercial relationship. If the natural person wishes to exercise any of his/her rights, he/she would need to send a letter with a proof of the identity (a copy of ID) to the person responsible for the processing under the name and the following address: 1480 Cader Lane, Petaluma, CA 94954, Attention: Marketing Department.

GOVERNING LAWS AND DISPUTE RESOLUTION

31. These Conditions shall be governed by the laws of the country in which the Seller is incorporated. The application of the United Nations Convention on Contracts for the International Sale of Goods, signed in Vienna on 11 April 1980 (Treaty Series 1981, 184 and 1986, 61), is excluded. In relation to any matter arising under or in connection with the GCS, and all questions concerning the validity and construction thereof shall be determined in accordance with the laws of said jurisdiction without regard to its conflict of laws principles.

32. In the event of any dispute, controversy or claim arising out of or in connection with the GCS, the parties shall attempt in good faith to resolve such dispute promptly by negotiation

between executives who have authority to settle such disputes. If, however, the parties fail to resolve such dispute within sixty (60) days through negotiation as described above the parties irrevocably agree to submit to the exclusive jurisdiction of the courts of the city in which the Seller is domiciled.

**Request for Taxpayer
Identification Number and Certification**

Go to www.irs.gov/FormW9 for instructions and the latest information.

**Give form to the
requester. Do not
send to the IRS.**

Before you begin. For guidance related to the purpose of Form W-9, see *Purpose of Form*, below.

Print or type. See Specific Instructions on page 3.	1 Name of entity/individual. An entry is required. (For a sole proprietor or disregarded entity, enter the owner's name on line 1, and enter the business/disregarded entity's name on line 2.) SCOTT LABORATORIES INC	
	2 Business name/disregarded entity name, if different from above.	
	3a Check the appropriate box for federal tax classification of the entity/individual whose name is entered on line 1. Check only one of the following seven boxes. ☐ Individual/sole proprietor ☒ C corporation ☐ S corporation ☐ Partnership ☐ Trust/estate ☐ LLC. Enter the tax classification (C = C corporation, S = S corporation, P = Partnership) Note: Check the "LLC" box above and, in the entry space, enter the appropriate code (C, S, or P) for the tax classification of the LLC, unless it is a disregarded entity. A disregarded entity should instead check the appropriate box for the tax classification of its owner. ☐ Other (see instructions)	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) Exemption from Foreign Account Tax Compliance Act (FATCA) reporting code (if any) (Applies to accounts maintained outside the United States.)
	3b If on line 3a you checked "Partnership" or "Trust/estate," or checked "LLC" and entered "P" as its tax classification, and you are providing this form to a partnership, trust, or estate in which you have an ownership interest, check this box if you have any foreign partners, owners, or beneficiaries. See instructions ☐	
	5 Address (number, street, and apt. or suite no.). See instructions. PHYSICAL: 1480 CADER LANE PETALUMA, CA 94954 6 City, state, and ZIP code REMIT TO: PO BOX 888198 LOS ANGELES CA 90088-8197 7 List account number(s) here (optional)	Requester's name and address (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. See also *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number									
			-				-		
or									
Employer identification number									
9	4	-	1	5	5	4	0	5	2

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and, generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here	Signature of U.S. person <i>Jabneel Rodriguez</i>	Date <i>10/22/2025</i>
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

What's New

Line 3a has been modified to clarify how a disregarded entity completes this line. An LLC that is a disregarded entity should check the appropriate box for the tax classification of its owner. Otherwise, it should check the "LLC" box and enter its appropriate tax classification.

New line 3b has been added to this form. A flow-through entity is required to complete this line to indicate that it has direct or indirect foreign partners, owners, or beneficiaries when it provides the Form W-9 to another flow-through entity in which it has an ownership interest. This change is intended to provide a flow-through entity with information regarding the status of its indirect foreign partners, owners, or beneficiaries, so that it can satisfy any applicable reporting requirements. For example, a partnership that has any indirect foreign partners may be required to complete Schedules K-2 and K-3. See the Partnership Instructions for Schedules K-2 and K-3 (Form 1065).

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS is giving you this form because they

must obtain your correct taxpayer identification number (TIN), which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid).
- Form 1099-DIV (dividends, including those from stocks or mutual funds).
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds).
- Form 1099-NEC (nonemployee compensation).
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers).
- Form 1099-S (proceeds from real estate transactions).
- Form 1099-K (merchant card and third-party network transactions).
- Form 1098 (home mortgage interest), 1098-E (student loan interest), and 1098-T (tuition).
- Form 1099-C (canceled debt).
- Form 1099-A (acquisition or abandonment of secured property).

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

Caution: If you don't return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding*, later.

By signing the filled-out form, you:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued);
2. Certify that you are not subject to backup withholding; or
3. Claim exemption from backup withholding if you are a U.S. exempt payee; and
4. Certify to your non-foreign status for purposes of withholding under chapter 3 or 4 of the Code (if applicable); and
5. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting is correct. See *What Is FATCA Reporting*, later, for further information.

Note: If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien;
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States;
- An estate (other than a foreign estate); or
- A domestic trust (as defined in Regulations section 301.7701-7).

Establishing U.S. status for purposes of chapter 3 and chapter 4 withholding. Payments made to foreign persons, including certain distributions, allocations of income, or transfers of sales proceeds, may be subject to withholding under chapter 3 or chapter 4 of the Code (sections 1441–1474). Under those rules, if a Form W-9 or other certification of non-foreign status has not been received, a withholding agent, transferee, or partnership (payor) generally applies presumption rules that may require the payor to withhold applicable tax from the recipient, owner, transferor, or partner (payee). See Pub. 515, *Withholding of Tax on Nonresident Aliens and Foreign Entities*.

The following persons must provide Form W-9 to the payor for purposes of establishing its non-foreign status.

- In the case of a disregarded entity with a U.S. owner, the U.S. owner of the disregarded entity and not the disregarded entity.
- In the case of a grantor trust with a U.S. grantor or other U.S. owner, generally, the U.S. grantor or other U.S. owner of the grantor trust and not the grantor trust.
- In the case of a U.S. trust (other than a grantor trust), the U.S. trust and not the beneficiaries of the trust.

See Pub. 515 for more information on providing a Form W-9 or a certification of non-foreign status to avoid withholding.

Foreign person. If you are a foreign person or the U.S. branch of a foreign bank that has elected to be treated as a U.S. person (under Regulations section 1.1441-1(b)(2)(iv) or other applicable section for chapter 3 or 4 purposes), do not use Form W-9. Instead, use the appropriate Form W-8 or Form 8233 (see Pub. 515). If you are a qualified foreign pension fund under Regulations section 1.897(l)-1(d), or a partnership that is wholly owned by qualified foreign pension funds, that is treated as a non-foreign person for purposes of section 1445 withholding, do not use Form W-9. Instead, use Form W-8EXP (or other certification of non-foreign status).

Nonresident alien who becomes a resident alien. Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a saving clause. Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items.

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
2. The treaty article addressing the income.
3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
4. The type and amount of income that qualifies for the exemption from tax.
5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

Example. Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if their stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first Protocol) and is relying on this exception to claim an exemption from tax on their scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity, give the requester the appropriate completed Form W-8 or Form 8233.

Backup Withholding

What is backup withholding? Persons making certain payments to you must under certain conditions withhold and pay to the IRS 24% of such payments. This is called “backup withholding.” Payments that may be subject to backup withholding include, but are not limited to, interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, payments made in settlement of payment card and third-party network transactions, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

Payments you receive will be subject to backup withholding if:

1. You do not furnish your TIN to the requester;
2. You do not certify your TIN when required (see the instructions for Part II for details);
3. The IRS tells the requester that you furnished an incorrect TIN;
4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only); or
5. You do not certify to the requester that you are not subject to backup withholding, as described in item 4 under “*By signing the filled-out form*” above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See *Exempt payee code*, later, and the separate Instructions for the Requester of Form W-9 for more information.

See also *Establishing U.S. status for purposes of chapter 3 and chapter 4 withholding*, earlier.

What Is FATCA Reporting?

The Foreign Account Tax Compliance Act (FATCA) requires a participating foreign financial institution to report all U.S. account holders that are specified U.S. persons. Certain payees are exempt from FATCA reporting. See *Exemption from FATCA reporting code*, later, and the Instructions for the Requester of Form W-9 for more information.

Updating Your Information

You must provide updated information to any person to whom you claimed to be an exempt payee if you are no longer an exempt payee and anticipate receiving reportable payments in the future from this person. For example, you may need to provide updated information if you are a C corporation that elects to be an S corporation, or if you are no longer tax exempt. In addition, you must furnish a new Form W-9 if the name or TIN changes for the account, for example, if the grantor of a grantor trust dies.

Penalties

Failure to furnish TIN. If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

Civil penalty for false information with respect to withholding. If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

Criminal penalty for falsifying information. Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

Misuse of TINs. If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

Specific Instructions

Line 1

You must enter one of the following on this line; **do not** leave this line blank. The name should match the name on your tax return.

If this Form W-9 is for a joint account (other than an account maintained by a foreign financial institution (FFI)), list first, and then circle, the name of the person or entity whose number you entered in Part I of Form W-9. If you are providing Form W-9 to an FFI to document a joint account, each holder of the account that is a U.S. person must provide a Form W-9.

• **Individual.** Generally, enter the name shown on your tax return. If you have changed your last name without informing the Social Security Administration (SSA) of the name change, enter your first name, the last name as shown on your social security card, and your new last name.

Note for ITIN applicant: Enter your individual name as it was entered on your Form W-7 application, line 1a. This should also be the same as the name you entered on the Form 1040 you filed with your application.

• **Sole proprietor.** Enter your individual name as shown on your Form 1040 on line 1. Enter your business, trade, or “doing business as” (DBA) name on line 2.

• **Partnership, C corporation, S corporation, or LLC, other than a disregarded entity.** Enter the entity’s name as shown on the entity’s tax return on line 1 and any business, trade, or DBA name on line 2.

• **Other entities.** Enter your name as shown on required U.S. federal tax documents on line 1. This name should match the name shown on the charter or other legal document creating the entity. Enter any business, trade, or DBA name on line 2.

• **Disregarded entity.** In general, a business entity that has a single owner, including an LLC, and is not a corporation, is disregarded as an entity separate from its owner (a disregarded entity). See Regulations section 301.7701-2(c)(2). A disregarded entity should check the appropriate box for the tax classification of its owner. Enter the owner’s name on line 1. The name of the owner entered on line 1 should never be a disregarded entity. The name on line 1 should be the name shown on the income tax return on which the income should be reported. For

example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a single owner that is a U.S. person, the U.S. owner’s name is required to be provided on line 1. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity’s name on line 2. If the owner of the disregarded entity is a foreign person, the owner must complete an appropriate Form W-8 instead of a Form W-9. This is the case even if the foreign person has a U.S. TIN.

Line 2

If you have a business name, trade name, DBA name, or disregarded entity name, enter it on line 2.

Line 3a

Check the appropriate box on line 3a for the U.S. federal tax classification of the person whose name is entered on line 1. Check only one box on line 3a.

IF the entity/individual on line 1 is a(n) . . .	THEN check the box for . . .
• Corporation	Corporation.
• Individual or	Individual/sole proprietor.
• Sole proprietorship	
• LLC classified as a partnership for U.S. federal tax purposes or	Limited liability company and enter the appropriate tax classification:
• LLC that has filed Form 8832 or 2553 electing to be taxed as a corporation	P = Partnership, C = C corporation, or S = S corporation.
• Partnership	Partnership.
• Trust/estate	Trust/estate.

Line 3b

Check this box if you are a partnership (including an LLC classified as a partnership for U.S. federal tax purposes), trust, or estate that has any foreign partners, owners, or beneficiaries, and you are providing this form to a partnership, trust, or estate, in which you have an ownership interest. You must check the box on line 3b if you receive a Form W-8 (or documentary evidence) from any partner, owner, or beneficiary establishing foreign status or if you receive a Form W-9 from any partner, owner, or beneficiary that has checked the box on line 3b.

Note: A partnership that provides a Form W-9 and checks box 3b may be required to complete Schedules K-2 and K-3 (Form 1065). For more information, see the Partnership Instructions for Schedules K-2 and K-3 (Form 1065).

If you are required to complete line 3b but fail to do so, you may not receive the information necessary to file a correct information return with the IRS or furnish a correct payee statement to your partners or beneficiaries. See, for example, sections 6698, 6722, and 6724 for penalties that may apply.

Line 4 Exemptions

If you are exempt from backup withholding and/or FATCA reporting, enter in the appropriate space on line 4 any code(s) that may apply to you.

Exempt payee code.

- Generally, individuals (including sole proprietors) are not exempt from backup withholding.
- Except as provided below, corporations are exempt from backup withholding for certain payments, including interest and dividends.
- Corporations are not exempt from backup withholding for payments made in settlement of payment card or third-party network transactions.
- Corporations are not exempt from backup withholding with respect to attorneys’ fees or gross proceeds paid to attorneys, and corporations that provide medical or health care services are not exempt with respect to payments reportable on Form 1099-MISC.

The following codes identify payees that are exempt from backup withholding. Enter the appropriate code in the space on line 4.

1—An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2).

- 2—The United States or any of its agencies or instrumentalities.
- 3—A state, the District of Columbia, a U.S. commonwealth or territory, or any of their political subdivisions or instrumentalities.
- 4—A foreign government or any of its political subdivisions, agencies, or instrumentalities.
- 5—A corporation.
- 6—A dealer in securities or commodities required to register in the United States, the District of Columbia, or a U.S. commonwealth or territory.
- 7—A futures commission merchant registered with the Commodity Futures Trading Commission.
- 8—A real estate investment trust.
- 9—An entity registered at all times during the tax year under the Investment Company Act of 1940.
- 10—A common trust fund operated by a bank under section 584(a).
- 11—A financial institution as defined under section 581.
- 12—A middleman known in the investment community as a nominee or custodian.
- 13—A trust exempt from tax under section 664 or described in section 4947.

The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 13.

IF the payment is for . . .	THEN the payment is exempt for . . .
• Interest and dividend payments	All exempt payees except for 7.
• Broker transactions	Exempt payees 1 through 4 and 6 through 11 and all C corporations. S corporations must not enter an exempt payee code because they are exempt only for sales of noncovered securities acquired prior to 2012.
• Barter exchange transactions and patronage dividends	Exempt payees 1 through 4.
• Payments over \$600 required to be reported and direct sales over \$5,000 ¹	Generally, exempt payees 1 through 5. ²
• Payments made in settlement of payment card or third-party network transactions	Exempt payees 1 through 4.

¹ See Form 1099-MISC, Miscellaneous Information, and its instructions.

² However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney reportable under section 6045(f), and payments for services paid by a federal executive agency.

Exemption from FATCA reporting code. The following codes identify payees that are exempt from reporting under FATCA. These codes apply to persons submitting this form for accounts maintained outside of the United States by certain foreign financial institutions. Therefore, if you are only submitting this form for an account you hold in the United States, you may leave this field blank. Consult with the person requesting this form if you are uncertain if the financial institution is subject to these requirements. A requester may indicate that a code is not required by providing you with a Form W-9 with "Not Applicable" (or any similar indication) entered on the line for a FATCA exemption code.

A—An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37).

B—The United States or any of its agencies or instrumentalities.

C—A state, the District of Columbia, a U.S. commonwealth or territory, or any of their political subdivisions or instrumentalities.

D—A corporation the stock of which is regularly traded on one or more established securities markets, as described in Regulations section 1.1472-1(c)(1)(i).

E—A corporation that is a member of the same expanded affiliated group as a corporation described in Regulations section 1.1472-1(c)(1)(i).

F—A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state.

G—A real estate investment trust.

H—A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940.

I—A common trust fund as defined in section 584(a).

J—A bank as defined in section 581.

K—A broker.

L—A trust exempt from tax under section 664 or described in section 4947(a)(1).

M—A tax-exempt trust under a section 403(b) plan or section 457(g) plan.

Note: You may wish to consult with the financial institution requesting this form to determine whether the FATCA code and/or exempt payee code should be completed.

Line 5

Enter your address (number, street, and apartment or suite number). This is where the requester of this Form W-9 will mail your information returns. If this address differs from the one the requester already has on file, enter "NEW" at the top. If a new address is provided, there is still a chance the old address will be used until the payor changes your address in their records.

Line 6

Enter your city, state, and ZIP code.

Part I. Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. If you are a resident alien and you do not have, and are not eligible to get, an SSN, your TIN is your IRS ITIN. Enter it in the entry space for the Social security number. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN.

If you are a single-member LLC that is disregarded as an entity separate from its owner, enter the owner's SSN (or EIN, if the owner has one). If the LLC is classified as a corporation or partnership, enter the entity's EIN.

Note: See *What Name and Number To Give the Requester*, later, for further clarification of name and TIN combinations.

How to get a TIN. If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local SSA office or get this form online at www.SSA.gov. You may also get this form by calling 800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at www.irs.gov/EIN. Go to www.irs.gov/Forms to view, download, or print Form W-7 and/or Form SS-4. Or, you can go to www.irs.gov/OrderForms to place an order and have Form W-7 and/or Form SS-4 mailed to you within 15 business days.

If you are asked to complete Form W-9 but do not have a TIN, apply for a TIN and enter "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, you will generally have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

Note: Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon. See also *Establishing U.S. status for purposes of chapter 3 and chapter 4 withholding*, earlier, for when you may instead be subject to withholding under chapter 3 or 4 of the Code.

Caution: A disregarded U.S. entity that has a foreign owner must use the appropriate Form W-8.

Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if item 1, 4, or 5 below indicates otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on line 1 must sign. Exempt payees, see *Exempt payee code*, earlier.

Signature requirements. Complete the certification as indicated in items 1 through 5 below.

1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983. You must give your correct TIN, but you do not have to sign the certification.

2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983. You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

3. Real estate transactions. You must sign the certification. You may cross out item 2 of the certification.

4. Other payments. You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments made in settlement of payment card and third-party network transactions, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).

5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), ABLE accounts (under section 529A), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions. You must give your correct TIN, but you do not have to sign the certification.

What Name and Number To Give the Requester

For this type of account:	Give name and SSN of:
1. Individual	The individual
2. Two or more individuals (joint account) other than an account maintained by an FFI	The actual owner of the account or, if combined funds, the first individual on the account ¹
3. Two or more U.S. persons (joint account maintained by an FFI)	Each holder of the account
4. Custodial account of a minor (Uniform Gift to Minors Act)	The minor ²
5. a. The usual revocable savings trust (grantor is also trustee)	The grantor-trustee ¹
b. So-called trust account that is not a legal or valid trust under state law	The actual owner ¹
6. Sole proprietorship or disregarded entity owned by an individual	The owner ³
7. Grantor trust filing under Optional Filing Method 1 (see Regulations section 1.671-4(b)(2)(i)(A))**	The grantor*

For this type of account:	Give name and EIN of:
8. Disregarded entity not owned by an individual	The owner
9. A valid trust, estate, or pension trust	Legal entity ⁴
10. Corporation or LLC electing corporate status on Form 8832 or Form 2553	The corporation
11. Association, club, religious, charitable, educational, or other tax-exempt organization	The organization
12. Partnership or multi-member LLC	The partnership
13. A broker or registered nominee	The broker or nominee
14. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity
15. Grantor trust filing Form 1041 or under the Optional Filing Method 2, requiring Form 1099 (see Regulations section 1.671-4(b)(2)(i)(B))**	The trust

¹ List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

² Circle the minor's name and furnish the minor's SSN.

³ You must show your individual name on line 1, and enter your business or DBA name, if any, on line 2. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

⁴ List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.)

* **Note:** The grantor must also provide a Form W-9 to the trustee of the trust.

** For more information on optional filing methods for grantor trusts, see the Instructions for Form 1041.

Note: If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

Secure Your Tax Records From Identity Theft

Identity theft occurs when someone uses your personal information, such as your name, SSN, or other identifying information, without your permission to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- Protect your SSN,
- Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax return preparer.

If your tax records are affected by identity theft and you receive a notice from the IRS, respond right away to the name and phone number printed on the IRS notice or letter.

If your tax records are not currently affected by identity theft but you think you are at risk due to a lost or stolen purse or wallet, questionable credit card activity, or a questionable credit report, contact the IRS Identity Theft Hotline at 800-908-4490 or submit Form 14039.

For more information, see Pub. 5027, Identity Theft Information for Taxpayers.

Victims of identity theft who are experiencing economic harm or a systemic problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 877-777-4778 or TTY/TDD 800-829-4059.

Protect yourself from suspicious emails or phishing schemes.

Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to phishing@irs.gov. You may also report misuse of the IRS name, logo, or other IRS property to the Treasury Inspector General for Tax Administration (TIGTA) at 800-366-4484. You can forward suspicious emails to the Federal Trade Commission at spam@uce.gov or report them at www.ftc.gov/complaint. You can contact the FTC at www.ftc.gov/idtheft or 877-IDTHEFT (877-438-4338). If you have been the victim of identity theft, see www.IdentityTheft.gov and Pub. 5027.

Go to www.irs.gov/IdentityTheft to learn more about identity theft and how to reduce your risk.

Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons (including federal agencies) who are required to file information returns with the IRS to report interest, dividends, or certain other income paid to you; mortgage interest you paid; the acquisition or abandonment of secured property; the cancellation of debt; or contributions you made to an IRA, Archer MSA, or HSA. The person collecting this form uses the information on the form to file information returns with the IRS, reporting the above information. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation and to cities, states, the District of Columbia, and U.S. commonwealths and territories for use in administering their laws. The information may also be disclosed to other countries under a treaty, to federal and state agencies to enforce civil and criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism. You must provide your TIN whether or not you are required to file a tax return. Under section 3406, payors must generally withhold a percentage of taxable interest, dividends, and certain other payments to a payee who does not give a TIN to the payor. Certain penalties may also apply for providing false or fraudulent information.



CHABOT – LAS POSITAS COMMUNITY COLLEGE DISTRICT

Vendor Profile Application

Return Completed Form to:

Contact Person Requesting Your Services

PLEASE TYPE OR PRINT.

For questions regarding this form or the application process, please contact the Purchasing Department at (925) 485-5230.

1. Vendor Name: <u>SCOTT LABORATORIES INC</u> DBA (if any): _____ Check payable to _____	
2. This information must be supplied. If not , the application will be returned. W9 form Required. Federal ID Number <u>94</u> - <u>1554052</u> or Social Security Number _____ - _____ - _____ A. Federal Tax Classification ☐ Individual/Sole Proprietor (S) ☐ Joint Venture (J) ☐ Partnership ☐ Single-Member LLC ☒ Corporation (C), State where incorporated _____ B. Is it a Non-Profit Organization? ☐ Yes ☐ No , If yes provide Tax-Exempt Form C. Business Start/Incorporation Date ____/____/____	
3. Addresses A. <u>Primary/Mailing</u> Street <u>1480 CADER LANE</u> City <u>PETALUMA</u> Zip Code <u>94954</u> Primary Contact Name _____ Phone (<u>707</u>) <u>765</u> - <u>6666</u> Ext. <u>3</u> Fax (_____) _____ - _____ Email _____ B. <u>Order (for Purchase Orders, if different from above)</u> Street _____ City _____ Zip Code _____ Primary Contact Name _____ Phone (_____) _____ - _____ Ext. _____ Fax (_____) _____ - _____ Email _____ C. <u>Remittance (for checks if different from above)</u> Street <u>PO BOX 888198</u> City <u>LOS ANGELES</u> Zip Code <u>90088</u> Primary Contact Name _____ Phone (<u>707</u>) <u>765</u> - <u>6666</u> Ext. <u>3</u> Fax (_____) _____ - _____ Email _____	
4. Vendor Category ☐ Disabled Veteran ☐ Minority Owned ☐ Small Business ☐ Women Owned	
5. Type of Business: Check the one which best describe your company: ☐ Broker ☐ Manufacturer ☐ Manufacturer's Rep ☐ Wholesaler ☐ Retailer <u>Service</u> ☐ Architect, Engineer, Construction ☐ Professional ☐ Other	
6. Sales Tax Collection ☐ Collects all Sales/Use Tax for Alameda County ☐ Collects Selected Taxes ____% ☐ Does not collect Sales Tax California Seller or Use Tax Permit Number _____ Do you supply recycled products? ☐ Yes ☐ No	
7. Type of commodities or services that your business provides <u>WINE MAKING PRODUCTS</u>	
8. Name of person completing the form Name <u>JABNEEL RODRIGUEZ</u> Title <u>AR/AP SPECIALIST</u> Phone No. <u>707-765-6666</u> Signature <u>Jabneel Rodriguez</u> Date <u>10/07/2026</u> Email Address: <u>ACCOUNTSRECEIVABLE@SCOTTLAB.COM</u>	

DO NOT COMPLETE – For CLPCCD use only ☐ New ☐ Updated

Received by Purchasing on _____ VENDOR NO. _____